

# Subsemigroups of transitive semigroups

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*Abstract.* Let  $\Gamma$  be a topological semigroup acting on a topological space  $X$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$ . We give general conditions ensuring that  $\Gamma$  and  $\Gamma_0$  have the same transitive points.

## 1. Introduction

In this paper, we consider the following problem. Let  $\Gamma$  be a topological semigroup acting continuously on a topological space  $X$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$ . Assume that  $\Gamma$  has a transitive point  $x \in X$ , i.e. the orbit  $\Gamma \cdot x$  is dense in  $X$ . When is it possible to conclude that  $x$  is also a transitive point for the subsemigroup  $\Gamma_0$ ?

As stated, this is a problem in topological dynamics. However, our motivation comes from *linear dynamics*, i.e. the dynamics of linear operators. More precisely, our starting examples are the following three interesting results due to Ansari [1], León Saavedra–Müller [16] and Conejero–Müller–Peris [7].

- (1) *Powers of hypercyclic operators are hypercyclic.*
- (2) *Rotations of hypercyclic operators are hypercyclic.*
- (3) *Every single operator in a hypercyclic 1-parameter semigroup  $(T_t)_{t \geq 0}$  is hypercyclic. Moreover, in each case the hypercyclic vectors are the same.* (Here we use the terminology prevailing in linear dynamics: an operator or a semigroup of operators is hypercyclic if it has a transitive point, and a hypercyclic vector is any such transitive point.)

Besides the formal similarity of these results, the proofs of (2) and (3) given in [7, 16] are quite similar too, and it is possible also to give a proof of (1) along the same lines. This was pointed out in [4, Ch. 3], which was an attempt to push the analogy beyond this mere observation. However, at that time there was still something missing, namely some general statement of the form ‘two semigroups share the same transitive points’ having (1), (2) and (3) as reasonably straightforward consequences.

However, such a statement was found by Shkarin in [21]. The main result of [21] is, in fact, purely topological (see §2), and it has the following consequence: *if  $T$  is a hypercyclic*

operator acting on a topological vector space  $X$  and if  $g$  is a topological generator of a compact group  $G$  then, for any  $T$ -hypercyclic vector  $x \in X$ , the set  $\{(g^n, T^n x); n \geq 1\}$  is dense in  $G \times X$ . In other words, the point  $(\mathbf{1}_G, x) \in G \times X$  is  $(\tau_g \times T)$ -transitive, where  $\tau_g : G \rightarrow G$  is the (left) translation by  $g$  and  $(\tau_g \times T)(h, z) = (gh, Tz)$ .

It is not hard to see that (1) and (2) above follow quite easily from Shkarin's result. (The inference of (3) is not that trivial, but this seems to be inevitable; see §2.) Moreover, this is indeed a statement of the form 'some semigroup  $\Gamma_0$  has the same transitive points as some larger semigroup  $\Gamma$ ': just let the semigroup  $\Gamma := G \times \mathbb{N}$  act on  $G \times X$  in the obvious way, i.e.  $(\xi, n) \cdot (h, x) = (\xi h, T^n x)$ , and put  $\Gamma_0 := \{(g^n, n); n \geq 1\}$ .

In this paper, our aim is to prove two general results of this type, that is we give some conditions ensuring that a topological semigroup  $\Gamma$  (acting on some topological space  $X$ ) and a subsemigroup  $\Gamma_0 \subset \Gamma$  have the same transitive points. Our first theorem is purely linear and can be used to recover the aforementioned results of Ansari, León-Müller and Conejero-Müller-Peris, whereas our second theorem is a generalization of Shkarin's theorem.

In both cases, a key role will be played by the *quotient space*  $\Gamma/\Gamma_0$ . Since we are dealing with semigroups and not groups, something is needed regarding the mere existence of this quotient. We shall say that  $\Gamma/\Gamma_0$  is *well defined* if there is a topological group  $G$  and a continuous and open surjective homomorphism  $\pi_0 : \Gamma \rightarrow G$  such that  $\Gamma_0 = \ker(\pi_0)$ . Of course, we define the quotient group  $\Gamma/\Gamma_0$  to be the group  $G$ , the obvious uniqueness question being easily settled (see Lemma 3.1 below).

Before stating the results, let us introduce some terminology. All topological spaces under consideration are assumed to be Hausdorff.

By a *dynamical system*, we mean a pair  $(X, \Gamma)$ , where  $X$  is a topological space and  $\Gamma$  is a topological semigroup acting continuously on  $X$ ; that is we are given a jointly continuous map  $(\gamma, x) \mapsto \gamma \cdot x$  from  $\Gamma \times X$  into  $X$  such that  $\gamma_1 \cdot (\gamma_2 \cdot x) = (\gamma_1 \gamma_2) \cdot x$  for any  $x, \gamma_1, \gamma_2$ . When  $\Gamma = \mathbb{N} = \{1, 2, \dots\}$ , i.e. when the action is given by the iterates of a single continuous map  $T : X \rightarrow X$ , we write  $(X, T)$  in place of  $(X, \Gamma)$ .

The dynamical system  $(X, \Gamma)$  is said to be *point transitive* if there is some  $x \in X$  such that  $\Gamma \cdot x := \{\gamma \cdot x; \gamma \in \Gamma\}$  is dense in  $X$ . Any such point  $x$  is called a *transitive point* for  $\Gamma$ , and the set of all transitive points for  $\Gamma$  is denoted by  $\text{Trans}(\Gamma)$ . When  $\Gamma = \mathbb{N} = \{1, 2, \dots\}$ ,  $(X, \Gamma) = (X, T)$ , we of course write  $\text{Trans}(T)$  in place of  $\text{Trans}(\Gamma)$ .

If  $(X, \Gamma)$  is a dynamical system then, for each  $\gamma \in \Gamma$ , we denote by  $T_\gamma : X \rightarrow X$  the continuous map defined by  $T_\gamma(x) = \gamma \cdot x$ . When  $\Gamma$  has a unit (denoted by  $\mathbf{1}$ ), it is assumed that  $T_{\mathbf{1}}$  is the identity map.

The dynamical system  $(X, \Gamma)$  is said to be *linear* if  $X$  is a topological vector space and every  $T_\gamma$  is a linear operator. In other words, the map  $\gamma \mapsto T_\gamma$  is a *linear representation* of the topological semigroup  $\Gamma$ . In this case, we use the linear terminology and notation. Thus, we say 'hypercyclic' instead of 'transitive', and we write  $HC(\Gamma)$  instead of  $\text{Trans}(\Gamma)$ .

Our first result reads as follows.

**THEOREM 1.1.** *Let  $(X, \Gamma)$  be a hypercyclic linear dynamical system with a completely metrizable acting semigroup  $\Gamma$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $\Gamma/\Gamma_0$  is well defined. Assume that the following hold.*

- 01 (a)  $\Gamma_0 \cdot X$  is dense in  $X$ .  
 02 (b)  $\Gamma/\Gamma_0$  is compact and abelian.  
 03 (c)  $HC(\Gamma)$  is  $\Gamma$ -invariant and there is at least one  $\gamma \in \Gamma$  such that the operator  $T_\gamma$  is  
 04 hypercyclic.

05 Then  $(X, \Gamma_0)$  is hypercyclic, with the same hypercyclic vectors as  $(X, \Gamma)$ .

06 *Remark.* When the semigroup  $\Gamma$  is abelian, it is easily seen that  $HC(\Gamma)$  is  $\Gamma$ -invariant if  
 07 and only if all operators  $T_\gamma$  have dense range. Hence, the assumptions of Theorem 1.1 are  
 08 fulfilled if  $\Gamma$  is abelian, all operators  $T_\gamma$  have dense range,  $\Gamma/\Gamma_0$  is compact and some  $T_\gamma$   
 09 is hypercyclic.  
 10

11 For the sake of illustration, we point out the following immediate consequence.

12 **COROLLARY 1.1.** *Let  $\mathcal{T} = (T_\gamma)_{\gamma \in \mathbb{R}^n}$  be a (jointly continuous) group of linear operators  
 13 such that at least one operator  $T_\gamma$  is hypercyclic. Then the group generated by any basis  
 14  $(\gamma_1, \dots, \gamma_n)$  of  $\mathbb{R}^n$  is hypercyclic, with the same hypercyclic vectors as  $\mathcal{T}$ .*  
 15

16 The nice thing with Theorem 1.1 is that it is rather general and very simply stated. Yet, it  
 17 is not completely satisfactory. Rather unexpectedly, we have been unable to deduce directly  
 18 from it the Conejero–Müller–Peris theorem about 1-parameter hypercyclic semigroups in  
 19 full generality, because we do not know how to prove directly that some operator  $T_a$  is  
 20 hypercyclic if the semigroup  $(T_t)_{t \geq 0}$  is, without assuming that the underlying topological  
 21 vector space  $X$  is metrizable; but perhaps Theorem 1.1 is not responsible for that. However,  
 22 there is a general version of the León–Müller theorem dealing with rotations of an arbitrary  
 23 semigroup rather than rotations of a single operator (see Theorem 2.1), and this result does  
 24 not follow either from Theorem 1.1. Finally, Theorem 1.1 is a linear statement from which  
 25 Shkarin’s theorem certainly cannot be recovered.  
 26

27 Theorem 1.2 below is a kind of answer to these objections. Shkarin’s theorem follows  
 28 very easily from it, and it can be used to prove the Conejero–Müller–Peris theorem directly,  
 29 with no metrizability assumptions, as well as the general version of the León–Müller  
 30 theorem. These two results do not appear to follow from Shkarin’s theorem itself, even  
 31 though Theorem 1.2 is quite reminiscent of [21].

32 To formulate Theorem 1.2 properly, we need an additional definition. Recall first that  
 33 if  $T : Z \rightarrow Z$  is a continuous self-map of a topological space  $Z$ , a point  $z \in Z$  is said to  
 34 be *T-recurrent* if  $z$  is a cluster point of the sequence  $(T^n(z))_{n \in \mathbb{N}}$ . Since  $\mathbb{N}$  starts with 1,  
 35 it is equivalent to say that  $z$  is in the closure of the set  $\{T^n(z); n \in \mathbb{N}\}$  (recall that  $Z$  is  
 36 Hausdorff); in particular, any transitive point is recurrent. Moreover, we shall say that a  
 37 topological space  $B$  is locally path-connected at some point  $z \in B$  if  $z$  has a neighbourhood  
 38 basis consisting of path-connected sets. Finally, recall that a topological space  $B$  is said  
 39 to be *simply path-connected* if  $B$  is path-connected and any closed path in  $B$  is null-  
 40 homotopic.

41 *Definition.* We shall say that a dynamical system  $(Z, T)$  has *property (S)* if there is a point  
 42  $z \in Z$  with the following properties.

- 43 (i)  $z$  is *T-recurrent*.  
 44 (ii) One can find two sets  $A, B \subset Z$  such that:

- 01 •  $z \in A \subset B$ ;
- 02 •  $A$  is  $T$ -invariant and path-connected;
- 03 •  $B$  is simply path-connected and locally path-connected at  $z$ .

04 For example,  $(Z, T)$  has property (S) provided  $Z$  has a  $T$ -invariant, simply path-  
 05 connected and locally path-connected subset containing a  $T$ -recurrent point. In particular,  
 06 if  $T$  is a hypercyclic linear operator then  $(HC(T), T)$  has property (S); see Corollary 2.1  
 07 below. The letter ‘S’ refers to Shkarin’s paper [21].

08 Our second result reads as follows. Recall that a *character* of a topological semigroup  
 09  $\Gamma$  is a continuous homomorphism  $\chi : \Gamma \rightarrow \mathbb{T}$ , where  $\mathbb{T}$  is the circle group. A character is  
 10 *non-trivial* if it is not identically  $\mathbf{1}$ . Throughout the paper, we denote by  $\widehat{\Gamma}$  the character  
 11 group of  $\Gamma$ .

12  
 13 **THEOREM 1.2.** *Let  $(X, \Gamma)$  be a point transitive dynamical system, with a completely*  
 14 *metrizable acting semigroup  $\Gamma$ . Also, let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $\Gamma/\Gamma_0$  is*  
 15 *well defined. Assume that the following hold.*

- 16 (a)  $\Gamma_0 \cdot X$  is dense in  $X$ .
- 17 (b)  $\Gamma/\Gamma_0$  is compact and abelian.
- 18 (c) For any non-trivial character  $\chi \in \widehat{\Gamma}$  such that  $\Gamma_0 \subset \ker(\chi)$ , one can find  $\gamma \in \Gamma$  such  
 19 that  $\chi(\gamma) \neq \mathbf{1}$  and a  $T_\gamma$ -invariant set  $Z \subset \text{Trans}(\Gamma)$  such that the dynamical system  
 20  $(Z, T_\gamma)$  has property (S).

21 Then  $(X, \Gamma_0)$  is point transitive, with the same transitive points as  $(X, \Gamma)$ .

22 *Remark.* Let  $\pi_0 : \Gamma \rightarrow \Gamma/\Gamma_0$  be the quotient map, and let us denote by  $\mathbf{S}$  the set of all  
 23  $\gamma \in \Gamma$  for which one can find a  $T_\gamma$ -invariant set  $Z \subset \text{Trans}(\Gamma)$  such that the dynamical  
 24 system  $(Z, T_\gamma)$  has property (S). Then condition (c) above may be formulated as follows:  
 25 the subgroup generated by  $\pi_0(\mathbf{S})$  is dense in  $\Gamma/\Gamma_0$ .

26  
 27 It will be clear from the proofs that Theorem 1.1 is essentially a special case of  
 28 Theorem 1.2, if not a formal consequence. However, since the former has a much simpler  
 29 formulation, it seems better to state it separately, at least for the sake of readability. One  
 30 can formulate an artificial statement having both Theorems 1.1 and 1.2 as immediate  
 31 consequences (see §6), but this seems to add nothing.

32 The general ideas needed for proving Theorems 1.1 and 1.2 are the same as in [7,  
 33 16, 21]. As pointed out in [21], these ideas in fact go back to the influential paper by  
 34 Furstenberg [9]. Actually, in the case of a compact ground space  $X$ , Shkarin’s theorem is  
 35 essentially proved in [9] and also in Parry’s paper [18], albeit not stated explicitly in this  
 36 form.

37 However, the examples we have in mind come from linear dynamics, where the space  
 38  $X$  is of course highly non-compact. Moreover, since we are dealing with a general  
 39 semigroup  $\Gamma$ , some preliminary work is required to make the ‘usual’ ideas work. Finally,  
 40 the main differences from [4, Ch. 3] are the following: (i) one of the assumptions made  
 41 in [4] happens to be superfluous; (ii) when writing [4], the authors were not aware of  
 42 Shkarin’s theorem; (iii) although the final parts in the proofs of the results of Ansari,  
 43 León–Müller and Conejero–Müller–Peris are treated separately in [4], with an *ad hoc*  
 44 connectedness argument in each case, this is no longer the case in the present paper.

The paper is organized as follows. In §2, we explain how Theorems 1.1 and 1.2 can be used to recover the results of Ansari, León–Müller, Conejero–Müller–Peris and Shkarin mentioned at the beginning of this introduction. Section 3 contains some preliminary results about compact quotients of semigroups. The proofs of Theorems 1.1 and 1.2 are given in §4. The key steps are a general ‘abstract’ result characterizing the non-transitivity of a subsemigroup (Theorem 4.1), and a lemma showing that dynamical systems with property (S) have no non-constant eigenfunction (Lemma 4.1). Section 5 contains some additional results. In particular, we prove a ‘supercyclic’ version of Theorem 1.1. Finally, we conclude the paper with a few remarks and some possibly interesting questions.

*Notation.* As already indicated, we denote by  $\mathbb{N}$  the set of all *positive* integers; that is  $\mathbb{N}$  starts with 1. The set of all non-negative integers is denoted by  $\mathbb{Z}_+$ , and the set of all non-negative real numbers by  $\mathbb{R}_+$ . Unless otherwise specified,  $(0, \infty)$  will be considered as an *additive* semigroup. As a rule, we use the multiplicative notation for the law of a semigroup  $\Gamma$ , even if  $\Gamma$  is abelian. Accordingly, the unit element (if there is any) is denoted by the symbol  $\mathbf{1}$ . This has an obvious drawback when  $\Gamma$  is, for example,  $\mathbb{R}_+$  or  $\mathbb{Z}_+$ : the unit element is  $\mathbf{1} = 0$  and should not be confused with 1. Finally, if  $(X, \Gamma)$  is a dynamical system and  $\Gamma$  has a unit, it is assumed that the map  $T_{\mathbf{1}} : X \rightarrow X$  is the identity map.

## 2. Applications of the main results

2.1. *The ALMCMP theorem.* The following theorem summarizes the results of Ansari [1], León–Müller [16] and Conejero–Müller–Peris [7] mentioned in the introduction.

**THEOREM 2.1.** *Let  $X$  be a real or complex infinite-dimensional topological vector space.*

- (1) *If  $T$  is a hypercyclic operator on  $X$  then  $T^p$  is hypercyclic for any positive integer  $p$ , with the same hypercyclic vectors.*
- (2) *Assume that  $X$  is a complex vector space.*
  - (a) *If  $T$  is a hypercyclic operator on  $X$  then  $\omega T$  is hypercyclic for any  $\omega \in \mathbb{T}$ , with the same hypercyclic vectors.*
  - (b) *More generally, let  $\mathcal{S}$  be a multiplicative semigroup of operators on  $X$ , and assume that there exists an operator  $R \in \mathcal{L}(X)$ , commuting with  $\mathcal{S}$ , such that  $R - \mu I$  has dense range for any  $\mu \in \mathbb{C}$ . If the semigroup  $\mathbb{T} \cdot \mathcal{S} := \{\xi S; \xi \in \mathbb{T}, S \in \mathcal{S}\}$  is hypercyclic then so is  $\mathcal{S}$ , with the same hypercyclic vectors.*
- (3) *If  $\mathcal{T} = (T_t)_{t \geq 0}$  is a jointly continuous hypercyclic semigroup of operators on  $X$  then each operator  $T_a$ ,  $a > 0$  is hypercyclic, with the same hypercyclic vectors as  $T$ .*

*Remark 1.* Part (2a) indeed follows from (2b) by considering the semigroup  $\mathcal{S}$  generated by  $\omega T$  and putting  $R := T$  since it is well known that  $P(T)$  has dense range for every non-zero polynomial  $P$  if  $T$  is hypercyclic (see [4, Ch. 1], for example).

*Remark 2.* Part (2b) has interesting applications. In particular, it implies the so-called *positive supercyclicity theorem*: if  $T$  is a supercyclic operator such that  $T - \mu I$  has dense range for every  $\mu \in \mathbb{C}$  then  $T$  is positively supercyclic, i.e. there is some  $x \in X$  such that the set  $\{rT^n(x); r > 0, n \in \mathbb{N}\}$  is dense in  $X$ . In fact, any supercyclic vector for  $T$  is positively supercyclic. Positively supercyclic operators have been completely characterized in [21].

01 *Remark 3.* The joint continuity assumption in (3) (i.e. the continuity of the map  $(t, x) \mapsto$   
 02  $T_t(x)$ ) is easily seen to be equivalent to the *local equicontinuity* of the semigroup  $(T_t)$ ,  
 03 i.e. the equicontinuity of  $(T_t)_{t \in K}$  for any compact set  $K \subset \mathbb{R}_+$ . Moreover, if the uniform  
 04 boundedness principle is available (e.g. if the topological vector space  $X$  is barrelled and  
 05 locally convex or is a Baire space) then every  $C_0$ -semigroup on  $X$  is locally equicontinuous.

06  
 07 In this subsection, we show how to deduce (1), (2a) and (3) from Theorem 1.1, assuming  
 08 that the topological vector space  $X$  is *metrizable* for part (3), and the full Theorem 2.1 from  
 09 Theorem 1.2.

10 2.1.1. *Using Theorem 1.1.* Parts (1) and (2a) follow immediately from the remark just  
 11 after Theorem 1.1. In (1), the semigroup  $\Gamma$  is  $\mathbb{N}$ ,  $T_n = T^n$  and  $\Gamma_0 = p\mathbb{N}$ . The quotient  $\Gamma/\Gamma_0$   
 12 is finite and  $T_1 = T$  is hypercyclic. In (2a),  $\Gamma$  is  $\mathbb{T} \times \mathbb{N}$ ,  $T_{(\xi, n)} = \xi(\omega T)^n$  and  $\Gamma_0 = \{\mathbf{1}\} \times \mathbb{N}$ .  
 13 The quotient  $\Gamma/\Gamma_0$  is isomorphic to  $\mathbb{T}$  and  $T_{(\omega^{-1}, 1)} = T$  is hypercyclic. In both cases,  $\Gamma$  is  
 14 abelian and all operators  $T_\gamma$ ,  $\gamma \in \Gamma$  has dense range.

15 The deduction of (3) from Theorem 1.1 is less straightforward. We take as  $\Gamma$   
 16 the (additive) semigroup  $(0, \infty)$  and  $\Gamma_0 = a\mathbb{N}$ , for some fixed  $a > 0$ . Then  $\Gamma/\Gamma_0$  is  
 17 well defined and isomorphic to the circle group  $\mathbb{T}$ , thanks to the canonical quotient  
 18 map  $\pi_0 : (0, \infty) \rightarrow \mathbb{T}$  defined by  $\pi_0(t) = e^{2i\pi t/a}$  (this map is indeed open since we are  
 19 considering  $\Gamma = (0, \infty)$  rather than  $\mathbb{R}_+$ ). Moreover, if  $z_0 \in HC(\Gamma)$  then, for any  $A > 0$ ,  
 20 the set  $(A, \infty) \cdot z_0 := \{T_s(z_0); s > A\}$  is dense in  $X$  because the compact set  $\{T_s(z_0); s \in$   
 21  $[0, A]\}$  is nowhere dense. It follows that each operator  $T_t$ ,  $t > 0$  has dense range, since  
 22  $(t, \infty) \cdot z_0 \subset \text{ran}(T_t)$  by the semigroup property. What remains to be shown is that *some*  
 23 operator  $T_t$ ,  $t > 0$  is hypercyclic. This is, in fact, an old result of Oxtoby and Ulam [17],  
 24 which has nothing to do with linearity. Assuming that the topological vector space  $X$  is  
 25 metrizable, one can prove it by a Baire category argument, as follows.

26 Since  $(0, \infty)$  is separable, the space  $X$  is separable and metrizable, so it has a countable  
 27 basis of open sets. Let  $z_0 \in HC(\Gamma)$ . We show that  $z_0 \in HC(T_t)$  for comeager many  
 28  $t \in (0, \infty)$ . By the Baire category theorem and since  $X$  is second countable, it is enough  
 29 to show that for every fixed open set  $V \subset X$  and any non-trivial interval  $(a, b) \subset (0, \infty)$ ,  
 30 one can find  $t \in (a, b)$  and  $n \in \mathbb{N}$  such that  $T_{nt}(z_0) \in V$ . Now it is easy to check that  
 31  $\bigcup_{n \in \mathbb{N}} (na, nb)$  contains an interval  $(A, \infty)$ . Since (as observed above) the set  $\{T_s(z_0);$   
 32  $s > A\}$  is dense in  $X$ , one can find  $s > A$  such that  $T_s(z_0) \in V$ , and, by what we have just  
 33 said, this  $s$  may be written as  $s = nt$  with  $t \in (a, b)$ .  $\square$

34  
 35 *Remark.* Once (3) is known to hold in the metrizable case, one can deduce the result for a  
 36 general topological vector space  $X$  by an argument due to Grosse-Erdmann and Peris [13].  
 37 The trick is the following: if  $\Gamma = (T_t)_{t \geq 0}$  is a 1-parameter locally equicontinuous  
 38 semigroup of operators on  $X$  then, for any neighbourhood  $W$  of 0 in  $X$ , one can find a  
 39  $\Gamma$ -invariant subspace  $N \subset X$  such that  $N \subset W$ , and a metrizable vector space topology  
 40  $\tau$  on  $X/N$ , coarser than the usual quotient topology, such that  $[W]_{X/N}$  is a  $\tau$ -  
 41 neighbourhood of 0 and the induced quotient semigroup  $\Gamma_{X/N}$  is locally equicontinuous  
 42 on  $(X/N, \tau)$ . Taking this temporarily for granted, let us fix  $a > 0$ , and let  $x \in X$  be any  
 43 hypercyclic vector for  $\Gamma$ . It has to be shown that for any  $z \in X$  and any neighbourhood  
 44  $O$  of 0 in  $X$ , one can find  $n \in \mathbb{N}$  such that  $T_{na}(x) \in z + O$ . Choose a neighbourhood  $W$

of 0 such that  $W + W \subset O$ , and let  $N$  be as above. Then  $[x]_{X/N}$  is a hypercyclic vector for the quotient semigroup  $\Gamma_{X/N}$  because the canonical quotient map is continuous from  $X$  onto  $(X/N, \tau)$ . By the metrizable case, it follows that one can find  $n \in \mathbb{N}$  such that  $T_{na}(x) \in z + W + N \subset z + O$ .

To find the subspace  $N$  and the topology  $\tau$  as above, we may assume that  $W$  is balanced. Put  $W_0 := W$  and use the local equicontinuity of  $\Gamma$  to get a decreasing sequence  $(W_n)_{n \geq 0}$  of balanced neighbourhoods of 0 such that  $W_{n+1} + W_{n+1} \subset W_n$  and  $T_t(W_{n+1}) \subset W_n$  for each  $n$  and every  $t \in [0, n]$ . Then  $N := \bigcap_{n \geq 0} W_n$  is a balanced additive subgroup of  $X$ , whence a linear subspace, and it is clearly  $T_t$ -invariant for every  $t \in [0, \infty[$ . The vector space topology  $\tau$  on  $X/N$  is defined by declaring that  $([W_n]_{X/N})_{n \geq 0}$  is a neighbourhood basis at 0. This is a Hausdorff topology since  $\bigcap_n [W_n]_{X/N} = \{0\}$ , so  $(X/N, \tau)$  is metrizable since there is a countable neighbourhood basis at 0. Finally, the quotient semigroup  $\Gamma_{X/N}$  is locally equicontinuous on  $(X/N, \tau)$  because, for any  $K \in \mathbb{R}_+$  and any  $n \geq 0$ , one can find  $p$  such that  $T_t(W_p) \subset W_n$  for all  $t \in [0, K]$  (e.g.  $p := 1 + \max(n, K)$ ).

2.1.2. *Using Theorem 1.2.* We now proceed to explain how to deduce Theorem 2.1 directly from Theorem 1.2. The first thing to do is of course to find a way of detecting property (S) inside a linear dynamical system. This is the content of the next lemma, where  $\mathcal{L}(X)$  is equipped with the strong operator topology.

LEMMA 2.1. *Let  $X$  be a topological vector space, let  $T \in \mathcal{L}(X)$ , and let  $Z \subset X$  be  $T$ -invariant. Assume that we have at hand a multiplicative semigroup  $\mathcal{M} \subset \mathcal{L}(X)$  containing  $I$  and  $T$  and an operator  $R \in \mathcal{L}(X)$  such that  $Z$  is invariant under every operator of the form  $\alpha R + \beta M$ , where  $M \in \mathcal{M}$  and  $\alpha, \beta \geq 0$  are not both 0. Then the dynamical system  $(Z, T)$  has property (S) provided one of the following holds.*

- $\mathcal{M}$  is path-connected and  $T$  has a recurrent point  $z \in Z$  such that  $R(z) = z$ .
- $\mathcal{M}$  is compact, path-connected and locally path-connected, and  $T$  has a recurrent point in  $Z$ .

*Proof.* We first recall that a set  $C \subset X$  is said to be *star-shaped* at some point  $c \in C$  if  $[c, v] \subset C$  for any  $v \in C$ . Clearly, any star-shaped set is simply path-connected. Moreover, any point  $c \in X$  has a neighbourhood basis consisting of sets which are star-shaped at  $c$  (even though the topological vector space  $X$  is not assumed to be locally convex), and, since the property of being star-shaped at  $c$  is preserved under intersections, it follows that if a set  $C \subset X$  is star-shaped at  $c$  then  $C$  is locally path-connected at  $c$ .

Now let us fix a  $T$ -recurrent point  $z \in Z$ . We put  $A := \mathcal{M} \cdot z = \{M(z); M \in \mathcal{M}\}$  and  $B := \{sR(z) + (1-s)M(z); s \in [0, 1], M \in \mathcal{M}\}$ . Then  $z \in A \subset B \subset Z$  and  $A$  is  $T$ -invariant. Moreover, in both cases,  $A$  is path-connected and  $B = \bigcup_{v \in A} [R(z), v]$  is star-shaped at  $R(z)$ , hence simply path-connected. If  $R(z) = z$  then  $B$  is star-shaped at  $z$ , hence locally path-connected at  $z$ . If  $\mathcal{M}$  is compact and locally path-connected then  $B$  is locally path-connected, being a continuous image of the compact locally path-connected space  $[0, 1] \times \mathcal{M}$ .  $\square$

COROLLARY 2.1. *Let  $X$  be a topological vector space, let  $T \in \mathcal{L}(X)$ , and let  $Z \subset X$  be  $T$ -invariant. In each of the following 3 cases, the dynamical system  $(Z, T)$  has property (S).*

- (i)  $T$  is hypercyclic and  $Z = HC(T)$ .

- 01 (ii)  $T = \lambda_0 I$  for some  $\lambda \in \mathbb{T}$  and there is an operator  $R \in \mathcal{L}(X)$  such that  $Z$  is invariant  
 02 under  $\alpha R + \beta' I$  whenever  $(\alpha, \beta') \neq (0, 0)$ .
- 03 (iii)  $Z = HC(T)$  and  $T = T_a$  for some  $a > 0$ , where  $T = (T_t)_{t \geq 0}$  is a 1-parameter  
 04 hypercyclic semigroup on  $X$ .

05 *Proof.* (i) Recall that  $P(T)$  has dense range for any non-zero polynomial  $P$ , so that  $Z =$   
 06  $HC(T)$  is invariant under  $P(T)$ . Moreover, any  $z \in Z$  is obviously  $T$ -recurrent. Denoting  
 07 by  $\mathcal{P}_+$  the set of all non-zero polynomials with non-negative coefficients, and applying  
 08 Lemma 2.1 with  $R = I$  and the convex multiplicative semigroup  $\mathcal{M} := \{P(T); P \in \mathcal{P}_+\}$ ,  
 09 the result follows.

10 (ii) Since  $\lambda_0 \in \mathbb{T}$ , any  $z \in Z$  is  $T$ -recurrent. Apply Lemma 2.1 with the semigroup  
 11  $\mathcal{M} := \{\lambda I; \lambda \in \mathbb{T}\}$ .

12 (iii) Note that  $Z = HC(T)$  is indeed  $T_a$ -invariant because  $T_a$  has dense range (as already  
 13 observed) and commutes with  $T$ . By Corollary 3.1 below,  $T_a$  has a recurrent point in  $Z$ .  
 14 Moreover,  $T_t + \mu I$  has dense range for any  $t > 0$  and every  $\mu \in \mathbb{K}$  by [7, Lemma 2.1], so  
 15 that  $Z$  is invariant under any operator of the form  $\alpha I + \beta T_t$ ,  $t, \alpha, \beta \geq 0$ ,  $(\alpha, \beta) \neq (0, 0)$ .  
 16 Applying Lemma 2.1 with  $\mathcal{M} = \mathcal{T}$  and  $R = I$ , the result follows.  $\square$

17 We can now give the following proof of the theorem.  
 18

19 *Proof of Theorem 2.1.* We take  $\Gamma = \mathbb{N}$ ,  $\Gamma_0 = p\mathbb{N}$  in case (1),  $\Gamma = \mathbb{T} \times \mathcal{S}$  (where  $\mathcal{S}$  has the  
 20 discrete topology),  $\Gamma_0 = \{\mathbf{1}\} \times \mathcal{S}$  in case (2b), and  $\Gamma = (0, \infty)$ ,  $\Gamma_0 = a\mathbb{N}$  in case (3). Put  
 21  $\Gamma^* := \{\gamma \in \Gamma; HC(\Gamma) \text{ is } T_\gamma\text{-invariant}\}$ . In each case, we just have to show that if  $\chi \in \widehat{\Gamma}$  is  
 22 a non-trivial character such that  $\Gamma_0 \subset \ker(\chi)$  then one can find  $\gamma \in \Gamma^*$  such that  $\chi(\gamma) \neq \mathbf{1}$   
 23 and the dynamical system  $(HC(\Gamma), T_\gamma)$  has property (S). We fix the character  $\chi$ , and we  
 24 put  $Z := HC(\Gamma)$ .

25 In case (1),  $\Gamma^* = \Gamma$  since  $\Gamma$  is abelian and  $T^n$  has dense range for all  $n \in \mathbb{N}$ . Since  
 26  $\chi$  is non-trivial and  $\mathbf{1}$  generates  $\Gamma = \mathbb{N}$ , we have  $\chi(\mathbf{1}) \neq \mathbf{1}$ ; since the operator  $T_1 = T$   
 27 is hypercyclic, the dynamical system  $(HC(\Gamma), T_1) = (HC(T), T)$  has property (S) by  
 28 Corollary 2.1(i).

29 In case (2b), we may assume that  $\mathbf{1} = I \in \mathcal{S}$  since  $HC(\mathcal{S} \cup \{\mathbf{1}\}) = HC(\mathcal{S})$ . Then one  
 30 can find  $\lambda_0 \in \mathbb{T}$  such that  $\chi(\lambda_0, I) \neq \mathbf{1}$  since otherwise  $\chi(\lambda, S) = \chi(\lambda, I)\chi(\mathbf{1}, S) = \mathbf{1}$   
 31 for every  $(\lambda, S) \in \Gamma$ . Since  $T_{(\lambda_0, I)} = \lambda_0 I$ , we have  $(\lambda_0, I) \in \Gamma^*$ . If  $R$  is the operator  
 32 appearing in (2b) then  $Z = HC(\Gamma)$  is invariant under  $\alpha R + \beta' I$  for every  $(\alpha, \beta') \neq (0, 0)$   
 33 since  $\alpha R + \beta' I$  has dense range and commutes with  $\Gamma$ . By Corollary 2.1(ii), the dynamical  
 34 system  $(HC(\Gamma), T_{(\lambda_0, I)})$  has property (S).

35 In case (3),  $\Gamma^* = \Gamma$  because  $\Gamma$  is abelian and all operators  $T_t$ ,  $t > 0$  have dense range.  
 36 By Corollary 2.1(iii), the dynamical system  $(Z, T_a)$  has property (S) for every  $a \in \Gamma$ . Thus,  
 37 we may pick any  $a \in \Gamma$  such that  $\chi(a) \neq \mathbf{1}$ .  $\square$

38  
 39 **2.2. Shkarin's theorem.** The following theorem is the main result of [21].

40 **THEOREM 2.2.** *Let  $T : X \rightarrow X$  be a continuous point transitive map on a topological*  
 41 *space  $X$ . Assume that one can find a non-empty set  $Y \subset \text{Trans}(T)$  which is  $T$ -invariant,*  
 42 *simply path-connected and locally path-connected. Also, let  $G$  be a monothetic compact*  
 43 *group, and let  $g$  be a topological generator of  $G$ . Then, for any  $x \in \text{Trans}(T)$ , the set*  
 44  *$\{(g^n, T^n(x)); n \geq 1\}$  is dense in  $G \times X$ .*



01 *Remark 1.* The ‘linear’ consequence quoted in the introduction is obtained by taking  
 02  $Y := \{P(T)x; P \text{ polynomial } \neq 0\}$ , for example, for some  $T$ -hypercyclic vector  $x$ . Parts  
 03 (1) and (2a) in Theorem 2.1 follow quite easily from this result, but part (2b) does not. The  
 04 deduction of (3) seems to require a metrizable assumption, just as in the first proof of  
 05 Theorem 2.1 given above.

06 *Remark 2.* When the space  $X$  is *compact* and metrizable, Shkarin’s theorem is essentially  
 07 proved (but not stated) in [9, 18]. It is not clear that the proofs given there can be adapted  
 08 to give the result for an arbitrary topological space  $X$ .  
 09

10 Let us see how Shkarin’s theorem can be deduced from Theorem 1.2.

11 *Proof of Theorem 2.2.* We first note the following slight subtlety: to show that the set  
 12  $\{(g^n, T^n(x)); n \geq 1\}$  is dense in  $G \times X$  for any  $x \in \text{Trans}(T)$ , it is, in fact, enough to  
 13 show that  $\{(g^n, T^n(x)); n \geq 0\}$  is dense. Indeed, since the set  $Y$  is connected and dense  
 14 in  $X$ , the space  $X$  has no isolated points (unless it is reduced to a single point, in which  
 15 case there is nothing to prove). Hence,  $G \times X$  has no isolated points either and we may  
 16 replace ‘ $n \geq 1$ ’ by ‘ $n \geq 0$ ’.

17 Accordingly, we take  $\Gamma = G \times \mathbb{Z}_+$  (and not  $G \times \mathbb{N}$ ),  $T_{(\xi, n)}(h, x) = (\xi h, T^n(x))$  and  
 18  $\Gamma_0 = \{(g^n, n); n \in \mathbb{Z}_+\}$ . Since  $G$  is abelian (being monothetic), the map  $\pi_0 : \Gamma \rightarrow G$   
 19 defined by  $\pi_0(\xi, n) = g^{-n}\xi$  is a continuous homomorphism from  $\Gamma$  onto  $G$  with kernel  
 20  $\Gamma_0$ , and  $\pi_0$  is easily seen to be open. Thus,  $\Gamma/\Gamma_0 \simeq G$  is well defined, compact and abelian.  
 21 Moreover,  $\Gamma_0 \cdot X$  is dense in  $X$  and  $\text{Trans}(\Gamma)$  is  $\Gamma$ -invariant because  $\Gamma$  is abelian and every  
 22  $T_\gamma$  has dense range. Finally, it is clear that  $\text{Trans}(\Gamma) = G \times \text{Trans}(T)$ .

23 Now let  $\chi \in \widehat{\Gamma}$  be a non-trivial character such that  $\Gamma_0 \subset \ker(\chi)$ . Then we must  
 24 have  $\chi(\mathbf{1}_G, 1) \neq \mathbf{1}$ . Indeed, otherwise  $\chi(\mathbf{1}_G, n) = \chi(\mathbf{1}_G, 1)^n = \mathbf{1}$  for every  $n \in \mathbb{Z}_+$ , and  
 25 hence  $\chi(\xi, n) = \chi(\xi, 0)\chi(\mathbf{1}_G, n)$  depends only on  $\xi \in G$ . However, since  $\chi(g, 1) = \mathbf{1}$ , it  
 26 follows that  $\chi(g^k, n) = \chi(g^k, k) = \mathbf{1}$  for all  $k \in \mathbb{N}$  and all  $n \in \mathbb{Z}_+$ , a contradiction since  
 27  $\chi$  is non-trivial and  $g$  is a topological generator of  $G$ . It now follows at once from  
 28 the assumptions of Shkarin’s theorem that the dynamical system  $(\text{Trans}(\Gamma), T_{(\mathbf{1}_G, 1)}) =$   
 29  $(G \times \text{Trans}(T), I_G \times T)$  has property (S): just put  $A = B := \{\mathbf{1}_G\} \times Y$ .  $\square$   
 30

### 32 3. Compact quotients of semigroups

33 3.1. *Definition of the quotient.* By a *quotient map* of a topological semigroup  $\Gamma$ , we  
 34 mean any continuous and open homomorphism  $\pi : \Gamma \rightarrow G$  from  $\Gamma$  onto some topological  
 35 group  $G$ . As the following trivial lemma shows, quotient maps are well suited to defining  
 36 . . . quotients.

37 LEMMA 3.1. *Let  $\Gamma$  be a topological semigroup, and let  $\pi : \Gamma \rightarrow G$  be a quotient map of  $\Gamma$ .  
 38 Also, let  $\phi : \Gamma \rightarrow H$  be a continuous homomorphism from  $\Gamma$  into a topological group  $H$ ,  
 39 and assume that  $\ker(\pi) \subset \ker(\phi)$ . Then  $\phi$  factors through the quotient map  $\pi : \Gamma \rightarrow G$ :  
 40 there is a unique continuous homomorphism  $\tilde{\phi} : G \rightarrow H$  such that  $\phi = \tilde{\phi} \circ \pi$ .  
 41*

42 *Proof.* The only thing we have to check is that if  $\gamma_1, \gamma_2 \in \Gamma$  satisfy  $\pi(\gamma_1) = \pi(\gamma_2)$  then  
 43  $\phi(\gamma_1) = \phi(\gamma_2)$ . Once this is done, one can unambiguously define  $\tilde{\phi} : G \rightarrow H$  by the  
 44 requirement  $\phi = \tilde{\phi} \circ \pi$  (the continuity of  $\tilde{\phi}$  coming from the open-ness of  $\pi$ ). Since  $\pi$  is

onto, one can find  $\xi \in \Gamma$  such that  $\pi(\xi) = g^{-1}$ , where  $\pi(\gamma_1) = g = \pi(\gamma_2)$ . Then  $\pi(\gamma_1\xi) = \mathbf{1}_G = \pi(\gamma_2\xi)$ , i.e.  $\gamma_1\xi \in \ker(\pi)$  and  $\gamma_2\xi \in \ker(\pi)$ . Hence,  $\phi(\gamma_1\xi) = \mathbf{1}_G = \phi(\gamma_2\xi)$ , so that  $\phi(\gamma_1) = \phi(\xi)^{-1} = \phi(\gamma_2)$ .  $\square$

It follows from this lemma that if  $\pi : \Gamma \rightarrow G$  and  $\pi' : \Gamma \rightarrow G'$  are two quotient maps of  $\Gamma$  then the topological groups  $G$  and  $G'$  are isomorphic, and, in fact, there is a unique topological isomorphism  $J : G \rightarrow G'$  such that  $J \circ \pi = \pi'$ . Thus, given a subsemigroup  $\Gamma_0 \subset \Gamma$ , it makes sense to speak of the quotient topological group  $\Gamma/\Gamma_0$ , provided there is a quotient map of  $\Gamma$  with kernel  $\Gamma_0$ , and one can even speak of ‘the canonical quotient map’  $\pi_0 : \Gamma \rightarrow \Gamma/\Gamma_0$ .

**3.2. Fundamental domains.** It is well known that any continuous and open map  $\pi : E \rightarrow F$  from a completely metrizable space  $E$  onto a topological space  $F$  is *compact covering*, i.e. each compact set  $L \subset F$  is the image of some compact set  $K \subset E$  (see [6, IX.2, Proposition 18], for example). In the context of compact quotients of semigroups, we have the following more precise result, which will be essential for our purpose.

**LEMMA 3.2.** *Let  $\Gamma$  be a completely metrizable topological semigroup, and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$ . Assume that  $\Gamma/\Gamma_0$  is well defined and compact. Then, for any  $\gamma_0 \in \Gamma_0$ , there exists a compact set  $K_0 \subset \Gamma$  such that the following properties hold.*

- $K_0 \cap \Gamma_0 = \{\gamma_0\}$ .
- For any  $\gamma \in \Gamma$ , one can find  $k \in K_0$  such that  $\gamma k \in \Gamma_0$  and  $k\gamma \in \Gamma_0$ .

*Proof.* Let  $d$  be a compatible complete metric on  $\Gamma$ , and let  $\pi_0 : \Gamma \rightarrow \Gamma/\Gamma_0$  be the canonical quotient map. Let us also put  $A = \{\gamma_0\} \cup (\Gamma \setminus \Gamma_0)$ . Finally, let  $(\varepsilon_n)_{n \geq 1}$  be a decreasing sequence of positive numbers tending to 0.

For any point  $\gamma \in A$ , choose an open set  $V_\gamma^1$  such that  $\gamma \in V_\gamma^1$  and  $\text{diam}(V_\gamma^1) \leq \varepsilon_1$ , and, moreover,  $\overline{V_\gamma^1} \cap \Gamma_0 = \emptyset$  if  $\gamma \neq \gamma_0$  (this can be done since  $\Gamma_0$  is closed in  $\Gamma$ ). The sets  $\pi_0(V_\gamma^1)$ ,  $\gamma \in A$ , obviously cover  $\Gamma/\Gamma_0$ . Since the quotient map  $\pi_0 : \Gamma \rightarrow \Gamma/\Gamma_0$  is open and  $G = \Gamma/\Gamma_0$  is compact, one can find a finite set  $I_1 \subset A$  such that  $\pi_0(W_1) = G$ , where  $W_1 = \bigcup_{\gamma \in I_1} V_\gamma^1$ . Note that  $W_1 \cap \Gamma_0 \subset V_{\gamma_0}^1$ , so  $W_1 \cap \Gamma_0$  has diameter at most  $\varepsilon_1$ ; of course  $\gamma_0 \in W_1$ .

Now repeat the construction with  $A$  replaced by  $A_1 := (W_1 \setminus \Gamma_0) \cup \{\gamma_0\}$ , choosing open sets  $V_\gamma^2$  with diameter at most  $\varepsilon_2$  and such that  $\overline{V_\gamma^2} \cap \Gamma_0 = \emptyset$  if  $\gamma \neq \gamma_0$ . We also require that each  $\overline{V_\gamma^2}$  is contained in  $V_{\gamma'}^1$ , for some  $\gamma' \in I_1$ . This produces a finite set  $I_2 \subset \Gamma$  and an open set  $W_2$ . Proceeding inductively, we construct a sequence of open sets  $W_n \subset \Gamma$  and a sequence of finite sets  $I_n \subset \Gamma$  such that the following properties hold.

- (i)  $W_n$  has the form  $W_n = \bigcup_{\gamma \in I_n} V_\gamma^n$ , where  $\text{diam}(V_\gamma^n) \leq \varepsilon_n$ .
- (ii) Each  $\overline{V_\gamma^{n+1}}$  is contained in  $V_{\gamma'}^n$ , for some  $\gamma' \in I_n$ .
- (iii)  $\pi_0(W_n) = G$ .
- (iv)  $\gamma_0 \in W_n$  and  $\text{diam}(W_n \cap \Gamma_0) \leq \varepsilon_n$ .

Now put  $K_0 = \bigcap_{n \geq 1} \overline{W_n}$ . Then  $K_0$  is a compact subset of  $\Gamma$  by (i), and  $K_0 \cap \Gamma_0 = \{\gamma_0\}$  by (iv). Moreover, it follows from (ii) and (iii) that  $\pi_0(K_0) = G$ . Indeed, let us fix  $g \in G$ . Consider the set  $\mathcal{T}_g$  made up of all finite sequences of the form  $(\gamma_1, \dots, \gamma_n)$ , where  $\gamma_k \in I_k$ ,  $g \in \pi_0(V_{\gamma}^k)$  for all  $k$ , and  $\overline{V_{\gamma_k'}^{k'}} \subset V_{\gamma_k}^k$  whenever  $k' > k$ . Then  $\mathcal{T}_g$  is a finitely branching tree

(with respect to the extension ordering), which contains arbitrarily long finite sequences, by (ii) and (iii). By König's infinity lemma, the tree  $\mathcal{T}_g$  has an infinite branch. In other words, one can find an infinite sequence  $(\gamma_n) \in \prod_{n \geq 1} I_n$  such that  $\overline{V_{\gamma_{n+1}}^{n+1}} \subset V_{\gamma_n}^n$  and  $g \in \pi_0(V_{\gamma_n}^n)$  for all  $n \geq 1$ . For each  $n$ , pick a point  $\xi_n \in V_{\gamma_n}^n$  such that  $\pi_0(\xi_n) = g$ . Then  $(\xi_n)$  is a Cauchy sequence in  $\Gamma$  whose limit  $\xi$  belongs to  $\bigcap_{n \geq 1} \overline{V_{\gamma_n}^n} \subset K_0$ , and  $\pi_0(\xi) = g$  by the continuity of  $\pi_0$ .

To conclude the proof, let  $\gamma \in \Gamma$  be arbitrary. Pick a point  $k \in K_0$  such that  $\pi_0(k) = \pi_0(\gamma)^{-1}$ . Then  $\pi_0(\gamma k) = \mathbf{1}_G = \pi_0(k\gamma)$ , so that  $\gamma k \in \Gamma_0$  and  $k\gamma \in \Gamma_0$ .  $\square$

*Remark 1.* Any compact set  $K_0 \subset \Gamma$  satisfying the conclusion of Lemma 3.2 will be called a *fundamental domain* for  $(\Gamma/\Gamma_0, \gamma_0)$ .

*Remark 2.* In all the 'concrete' applications we have in mind, the existence of a fundamental domain is obvious. For example, when  $\Gamma = \mathbb{N}$ ,  $\Gamma = p\mathbb{N}$  and  $\gamma_0 = p$ , we may take  $K_0 = \{p\}$ ; when  $\Gamma = (0, \infty)$ ,  $\Gamma_0 = a\mathbb{N}$  and  $\gamma_0 = a$ , we may take  $K_0 = [a/2, 3a/2]$ ; and when  $\Gamma = G \times \Gamma_0$ , where  $G$  is a compact group, we may take  $K_0 = G \times \{\gamma_0\}$ .

**3.3. Recurrence.** As an application of Lemma 3.2, we now prove a result concerning recurrent points. We have already used a very special case of it in §2 (see the second proof of Theorem 2.1). However, this particular case does not appear to be very much easier to prove than the general result.

Let us first recall some well-known terminology. If  $I$  is any set, we denote by  $2^I$  the power set of  $I$ . A family  $\mathcal{F} \subset 2^I$  is said to be *cohereditary* if it is upward closed under inclusion, i.e.  $\mathcal{F} \ni F \subset F'$  implies  $F' \in \mathcal{F}$ . A cohereditary  $\mathcal{F} \subset 2^I$  is *proper* if  $\mathcal{F} \neq \emptyset$  and all sets  $F \in \mathcal{F}$  are non-empty. A *filter* of subsets of  $I$  is a proper cohereditary family  $\mathcal{F} \subset 2^I$  which is closed under finite intersections.

Let  $(X, \Gamma)$  be a dynamical system, and let  $\mathcal{F}$  be a proper cohereditary family of subsets of  $\Gamma$ . A point  $x \in X$  is said to be  *$\mathcal{F}$ -recurrent* if the set

$$\mathbf{N}(x, V) := \{\gamma \in \Gamma; \gamma \cdot x \in V\}$$

belongs to  $\mathcal{F}$  for any neighbourhood  $V$  of  $x$ . When  $\Gamma$  is (infinite and) discrete and  $\mathcal{F} = \mathcal{F}_\infty$ , the family of all infinite subsets of  $\Gamma$ , this yields the usual notion of recurrence. In particular, when  $\Gamma = \mathbb{N}$ ,  $(X, \Gamma) = (X, T)$ , the  $\mathcal{F}_\infty$ -recurrent points are simply the  $T$ -recurrent points.

If  $\mathcal{F} \subset 2^\Gamma$  is a proper cohereditary family, the *dual family*  $\mathcal{F}^*$  is the family of all sets  $F^* \subset \Gamma$  such that  $F^* \cap F \neq \emptyset$  for every  $F \in \mathcal{F}$ . Clearly,  $\mathcal{F}^*$  is also proper and cohereditary, and it is not hard to check that  $(\mathcal{F}^*)^* = \mathcal{F}$ . Moreover, a point  $x \in X$  is  $\mathcal{F}^*$ -recurrent if and only if  $x \in \overline{F \cdot x}$  for every  $F \in \mathcal{F}$ ; when  $\mathcal{F}$  is a filter, this means that one can find a net  $(\gamma_j) \subset \Gamma$  such that  $\gamma_j \cdot x \rightarrow x$  and  $\gamma_j \in F$  eventually for any  $F \in \mathcal{F}$ .

A natural example is obtained by considering the family  $\mathcal{F}_\leftarrow$  of all 'terminal' subsets of  $\Gamma$ : a set  $F$  is in  $\mathcal{F}_\leftarrow$  if and only if it contains  $\Gamma\tau$  for some  $\tau \in \Gamma$ . When  $\Gamma = \mathbb{N}$ , this is the family of cofinite sets, so  $(\mathcal{F}_\leftarrow)^*$ -recurrence is just  $T$ -recurrence, and when  $\Gamma = (0, \infty)$ , a point  $x$  is in  $(\mathcal{F}_\leftarrow)^*$ -recurrent if and only if there is some net  $(t_i) \subset \Gamma$  tending to  $+\infty$  such that  $T_{t_i}(x) \rightarrow x$ . Another natural example is when  $\Gamma$  is locally compact and non-compact,

and  $\mathcal{F}$  is the family of all (punctured) neighbourhoods of  $\infty$ . In this case, a point  $x \in X$  is  $\mathcal{F}^*$ -recurrent if and only if there is a net  $(t_i) \subset \Gamma$  tending to  $\infty$  (which does not mean that  $t_i \rightarrow +\infty$  in the case  $\Gamma = (0, \infty)$ ) such that  $T_{t_i}(x) \rightarrow x$ .

If  $\Gamma_0$  is a subset of  $\Gamma$  and  $\mathcal{F} \subset 2^\Gamma$ , we put  $\mathcal{F} \cap \Gamma_0 := \{F \cap \Gamma_0; F \in \mathcal{F}\}$ . Of course,  $\mathcal{F} \cap \Gamma_0$  is cohereditary if  $\mathcal{F}$  is, but  $\mathcal{F} \cap \Gamma_0$  may not be proper (if  $\mathcal{F}$  is), i.e. it may contain  $\emptyset$ .

**LEMMA 3.3.** *Let  $(X, \Gamma)$  be a dynamical system with a completely metrizable acting semigroup  $\Gamma$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$ . Assume that  $\Gamma/\Gamma_0$  is well defined and compact. Also, let  $\mathcal{F} \subset 2^\Gamma$  be a filter of subsets of  $\Gamma$ , invariant under right translations, i.e.  $F \in \mathcal{F}$  implies  $F\tau \in \mathcal{F}$  for every  $\tau \in \Gamma$ . Finally, let  $\gamma_0 \in \Gamma_0$ . Assume that there exists a fundamental domain  $K_0$  for  $(\Gamma/\Gamma_0, \gamma_0)$ , with the following property: for any  $\alpha \in \Gamma$  and every  $F \in \mathcal{F}$ , the set  $\{\xi \in \Gamma; K_0\alpha\xi \subset F\}$  belongs to  $\mathcal{F}$ . Then  $(\mathcal{F} \cap \Gamma_0)$  is proper and) for any  $\mathcal{F}^*$ -recurrent  $x \in X$ , the point  $T_{\gamma_0}(x)$  is  $(\mathcal{F} \cap \Gamma_0)^*$ -recurrent.*

*Proof.* Let  $x \in X$  be  $\Gamma$ -recurrent. We have to show that  $\gamma_0 x \in \overline{(F \cap \Gamma_0) \cdot (\gamma_0 x)}$  for every  $F \in \mathcal{F}$ ; so we fix  $F \in \mathcal{F}$  and we put  $F_0 = F \cap \Gamma_0$ . We are looking for a net  $(\tau_i) \subset F \cap \Gamma_0$  such that  $\tau_i \gamma_0 x \rightarrow \gamma_0 x$ .

Put  $G := \Gamma/\Gamma_0$  and let  $\pi_0 : \Gamma \rightarrow G$  be the canonical quotient map. We first show that one can find a net  $(\gamma_i) \subset \Gamma$  such that  $K_0\gamma_i \subset F$ ,  $\gamma_i\gamma_0 \cdot x \rightarrow x$  and  $\pi_0(\gamma_i\gamma_0) \rightarrow \mathbf{1}_G$ . To do this, we have to check that for each neighbourhood  $V$  of  $x$  and each neighbourhood  $O$  of  $\mathbf{1}_G$  one can find  $\gamma \in \Gamma$  such that  $K_0\gamma \subset F$ ,  $\pi_0(\gamma\gamma_0) \in O$  and  $(\gamma\gamma_0) \cdot x \in V$ .

Since  $x$  is  $\mathcal{F}^*$ -recurrent and  $\mathcal{F}$  is a filter, one can find a net  $(\lambda_j) \subset \Gamma$  such that  $\lambda_j \cdot x \rightarrow x$  and  $\lambda_j \in B$  eventually for any  $B \in \mathcal{F}$ . By compactness, we may assume that  $\pi_0(\lambda_j) \rightarrow g \in G$ . By compactness of  $G$  again, we may choose a positive integer  $N$  such that  $g^N \in O$ , and then a neighbourhood  $W$  of  $g$  such that  $\prod_{i=1}^N g_i \in O$ , whenever  $g_1, \dots, g_N \in W$ . Now pick  $j_1$  such that  $\lambda_{j_1} \cdot x \in V$  and  $\pi_0(\lambda_{j_1}) \in W$ , then  $j_2$  such that  $(\lambda_{j_1}\lambda_{j_2}) \cdot x \in V$  (i.e.  $\lambda_{j_2} \cdot x \in T_{\lambda_{j_1}}^{-1}(V)$ ) and  $\pi_0(\lambda_{j_2}) \in W$ ,  $\dots$ , and finally  $j_N$  such that  $(\lambda_{j_1} \cdots \lambda_{j_N}) \cdot x \in V$ ,  $\pi_0(\lambda_{j_N}) \in W$  and  $\lambda_{j_N}$  may be written as  $\lambda_{j_N} = \xi\gamma_0$  for some  $\xi \in \gamma$  such that  $(K_0\lambda_{j_1} \cdots \lambda_{j_{N-1}}) \cdot \xi \subset F$ . This can be done since, by assumption, the set  $A := \{\xi \in \Gamma; (K_0\lambda_{j_1} \cdots \lambda_{j_{N-1}}) \cdot \xi \subset F\}$  is in  $\mathcal{F}$ , and so is  $B = A \cdot \gamma_0$ , since  $\mathcal{F}$  is invariant under right translations. Then  $\lambda := \lambda_{j_1} \cdots \lambda_{j_N}$  may be written as  $\lambda = \gamma\gamma_0$ , where  $\gamma$  has the required properties.

Having our net  $(\gamma_i)$  at hand, we may pick  $k_i \in K_0$  such that  $\tau_i := k_i\gamma_i \in \Gamma_0$  for each  $i$ . Then  $\tau_i \in F \cap \Gamma_0$  by the choice of  $\gamma_i$ . By compactness, we may assume that  $k_i \rightarrow k \in K_0$ . Then  $(k_i\gamma_i\gamma_0) \cdot x \rightarrow k \cdot x$ , by the joint continuity of the map  $(\gamma, z) \mapsto \gamma \cdot z$ . Now,  $\pi_0(k_i\gamma_i\gamma_0) = \mathbf{1}_G = \pi_0(\gamma_0)$  and  $\pi_0(\gamma_i\gamma_0) \rightarrow \mathbf{1}_G$ , hence  $\pi_0(k_i) \rightarrow \mathbf{1}_G$ . It follows that  $\pi_0(k) = \mathbf{1}_G$ , so that  $k \in K_0 \cap \Gamma_0$  and hence  $k = \gamma_0$ . Thus, we have found a net  $(\tau_i) \subset F \cap \Gamma_0$  such that  $\tau_i\gamma_0 \cdot x \rightarrow \gamma_0 \cdot x$ , as required.  $\square$

The particular case of Lemma 3.3 that was used in §2 reads as follows.

**COROLLARY 3.1.** *Let  $(T_i)_{i \geq 0}$  be a locally equicontinuous semigroup of operators on a topological vector space  $X$ , and let  $x \in X$ . Assume that there is a net  $(t_i)$  tending to  $+\infty$  such that  $T_{t_i}(x) \rightarrow x$ . Then, for each  $a > 0$ , the point  $T_a(x)$  is  $T_a$ -recurrent.*

*Proof.* Apply Lemma 3.3 with  $\Gamma = (0, \infty)$ ,  $\Gamma_0 = a\mathbb{N}$ ,  $\gamma_0 = a$  and the family  $\mathcal{F}_\leftarrow$  of all terminal subsets of  $\Gamma$ . The family  $\mathcal{F}_\leftarrow$  is indeed a filter because  $\Gamma$  is abelian.  $\square$

01 4. *Proofs of Theorems 1.1 and 1.2*

02 In this section, we prove Theorems 1.1 and 1.2. The proofs rely on a general abstract result  
 03 about non-transitive subsemigroups (Theorem 4.1) and a lemma concerning dynamical  
 04 systems with property (S) (Lemma 4.1). For the sake of readability, we first state  
 05 Theorem 4.1, then state and prove Lemma 4.1, then give the proofs of Theorems 1.1  
 06 and 1.2, and finally prove the key Theorem 4.1. The following definition will be useful  
 07 throughout.

08 *Definition.* Let  $(X, \Gamma)$  and  $(X', \Gamma)$  be two dynamical system (with the same acting  
 09 semigroup  $\Gamma$ ). Also, let  $Z \subset X$ . Then the dynamical system  $(X', \Gamma)$  is said to be a *pseudo-*  
 10 *factor of*  $(Z, \Gamma)$  if there is a continuous map  $p : Z \rightarrow X'$  such that  $p(\gamma \cdot z) = \gamma \cdot p(z)$   
 11 whenever  $(z, \gamma) \in Z \times \Gamma$  and  $\gamma \cdot z \in Z$ .

12  
 13 When  $Z$  is  $\Gamma$ -invariant and the above map  $p : Z \rightarrow X'$  is onto, the dynamical system  
 14  $(X', \Gamma)$  is a *factor* of the (well-defined) dynamical system  $(Z, \Gamma)$ . This is a basic  
 15 notion in topological dynamics, which somewhat justifies the terminology ‘pseudo-factor’.  
 16 However, in the present case, the set  $Z$  is not assumed to be  $\Gamma$ -invariant (so that, strictly  
 17 speaking, there is no dynamical system  $(Z, \Gamma)$ ), and the pseudo-factoring map  $p$  is not  
 18 even assumed to have dense range.

19  
 20 4.1. *Eigencharacters and eigenfunctions.* Let  $(X, \Gamma)$  be a dynamical system, and let  
 21  $Z \subset X$ . A character  $\chi \in \widehat{\Gamma}$  is an *eigencharacter for*  $(Z, \Gamma)$  if there exists a continuous function  
 22  $f : Z \rightarrow \mathbb{T}$  such that  $f(\gamma \cdot z) = \chi(\gamma)f(z)$  whenever  $(z, \gamma) \in Z \times \Gamma$  and  $\gamma \cdot z \in Z$ .  
 23 Such a function  $f$  is called an *eigenfunction* associated with  $\chi$ . This terminology calls for  
 24 some comments.

25 *Remark 1.* As in the above definition of pseudo-factors, the set  $Z$  is not assumed to be  
 26  $\Gamma$ -invariant.

27  
 28 *Remark 2.* One may call ‘eigenfunction for  $(Z, \Gamma)$ ’ any map  $f : Z \rightarrow \mathbb{T}$  such that  $f(\gamma \cdot$   
 29  $z) = \chi(\gamma)f(z)$  whenever  $(z, \gamma) \in Z \times \Gamma$  and  $\gamma \cdot z \in Z$ , for some map  $\chi \rightarrow \mathbb{C}$ . Putting  
 30  $\Gamma_Z := \{\gamma \in \Gamma; \gamma \cdot Z \subset Z\}$ , it is easily checked that  $\chi$  induces a homomorphism from the  
 31 semigroup  $\Gamma_Z$  into the circle group  $\mathbb{T}$ , hence a character of  $\Gamma_Z$  if  $f$  is continuous. However,  
 32 it is *a priori* unclear whether  $\chi$  can be extended to a character of  $\Gamma$ , i.e. to an eigencharacter  
 33 for  $(Z, \Gamma)$ .

34 *Remark 3.* An eigenfunction for a dynamical system  $(Z, \mathbb{N}) = (Z, T)$  is nothing else but  
 35 a pseudo-factoring map from  $(Z, T)$  into a dynamical system of the form  $(\mathbb{T}, \tau_g)$ , where  
 36  $g \in \mathbb{T}$  and  $\tau_g$  is the (left) translation by  $g$ . Such dynamical systems are usually called  
 37 *Kronecker systems*; see below.

38  
 39 The following result is the key to the proofs of Theorems 1.1 and 1.2.

40 **THEOREM 4.1.** *Let  $(X, \Gamma)$  be a point transitive dynamical system, with a completely*  
 41 *metrizable acting semigroup  $\Gamma$ . Also, let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $G = \Gamma/\Gamma_0$  is*  
 42 *well defined, compact and abelian. Finally, assume that  $\Gamma_0 \cdot X$  is dense in  $X$ . If  $\text{Trans}(\Gamma) \neq$*   
 43  *$\text{Trans}(\Gamma_0)$  then there is a non-trivial character  $\chi \in \widehat{\Gamma}$  which is an eigencharacter for*  
 44  *$(\text{Trans}(\Gamma), \Gamma)$  and such that  $\Gamma_0 \subset \ker(\chi)$ .*

There seems to be no hope of reversing the implication in Theorem 4.1: after all, there may be no pair  $(z, \gamma) \in \text{Trans}(\Gamma) \times \Gamma$  such that  $\gamma \cdot z \in \text{Trans}(\Gamma)$ . However, if  $\text{Trans}(\Gamma)$  is  $\Gamma$ -invariant then we do get a rather intuitive characterization of the non-transitivity of  $\Gamma_0$ . This holds in particular if  $\Gamma$  is abelian and all maps  $T_\gamma, \gamma \in \Gamma$  have dense range.

**COROLLARY 4.1.** *Let  $(X, \Gamma)$  be a point transitive dynamical system, with a completely metrizable acting semigroup  $\Gamma$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $G = \Gamma/\Gamma_0$  is well defined, compact and abelian. Assume that  $\Gamma_0 \cdot X$  is dense in  $X$  and that  $\text{Trans}(\Gamma)$  is  $\Gamma$ -invariant. Then the following are equivalent.*

- (i) *There is some point  $x \in X$  which is  $\Gamma$ -transitive but not  $\Gamma_0$ -transitive.*
- (ii) *The dynamical system  $(\text{Trans}(\Gamma), \Gamma)$  admits a non-trivial eigencharacter which is trivial on  $\Gamma_0$ .*
- (iii) *The dynamical system  $(\text{Trans}(\Gamma), \Gamma)$  admits a continuous, non-constant eigenfunction which is constant on every  $\Gamma_0$ -orbit.*
- (iv) *The subsemigroup  $\Gamma_0$  has no transitive points.*

*Proof.* That (i) implies (ii) is the content of Theorem 4.1, and the implications (ii)  $\implies$  (iii)  $\implies$  (iv)  $\implies$  (i) are obvious.  $\square$

Thus, we see that (under the assumptions of Corollary 4.1)  $\Gamma$  and the subsemigroup  $\Gamma_0$  have the same transitive points provided that  $\Gamma_0$  is already known to be point transitive. It would be nice to have a simple direct proof of this result.

**4.2. Property (S) and Kronecker systems.** In this subsection, we prove that dynamical systems with property (S) have no non-trivial Kronecker pseudo-factors. Let us first recall the relevant definition.

*Definition.* A *Kronecker system* is a dynamical system of the form  $(K, \tau_g)$ , where  $K$  is a compact abelian group and  $\tau_g$  is the translation by some fixed element  $g \in K$ . It is *non-trivial* if  $g \neq \mathbf{1}_K$ .

This definition is slightly non-standard: usually, it is required that  $g$  is a topological generator of  $K$ . This does not really matter since one can consider instead of  $K$  the closed subgroup of  $K$  generated by  $g$ . On the other hand, it is important to note that the compact group  $K$  is assumed to be abelian. It follows that if a Kronecker system  $(K, \tau_g)$  is non-trivial then it has a non-trivial Kronecker pseudo-factor of the form  $(\mathbb{T}, \tau_h)$ . Indeed, if  $\chi : K \rightarrow \mathbb{T}$  is any character of  $K$  such that  $\chi(g) \neq \mathbf{1}$  then  $\chi$  is a pseudo-factoring map from  $(K, \tau_g)$  into the non-trivial system  $(\mathbb{T}, \tau_{\chi(g)})$ .

For the sake of brevity, we shall say that a dynamical system  $(X, T)$  is *anti-Kronecker* if it has no non-trivial Kronecker pseudo-factor. The proof of the next lemma is inspired by that of [21, Lemma 2.7].

**LEMMA 4.1.** *Dynamical systems with property (S) are anti-Kronecker.*

*Proof.* Let  $(Z, T)$  have property (S), and assume that  $(Z, T)$  has a non-trivial Kronecker pseudo-factor  $(K, \tau_g)$ , with witness  $p : Z \rightarrow K$ . As noted a few lines above, we may assume that  $K = \mathbb{T}$ .

01 Choose  $z, A, B$  according to the definition of property (S). Since the set  $B$  is simply  
 02 path-connected, one can define a map  $f : B \rightarrow \mathbb{R}$  as follows: for any  $x \in B$ ,  $f(x)$  is the  
 03 winding number  $\mathbf{w}(p \circ \alpha_x)$  of  $p \circ \alpha_x$ , for any path  $\alpha_x$  inside  $B$  starting at  $z$  and ending  
 04 up at  $x$ . Moreover, this map is *continuous* at  $z$  because  $B$  is locally path-connected at  $z$ .  
 05 Hence, we can choose an open neighbourhood  $V$  of  $z$  such that  $|\mathbf{w}(p \circ \alpha)| < 1$  for any path  
 06  $\alpha$  inside  $B$  with endpoints in  $V$ .

07 Since  $z$  is  $T$ -recurrent, we can find a large positive integer  $N$  such that  $T^N(z) \in V$ .  
 08 Now let  $\alpha_0$  be a continuous path inside  $A$  with initial point  $z$  and terminal point  $T(z)$ ,  
 09 and, for any  $i \in \{0, \dots, N-1\}$ , put  $\alpha_i := T^i \circ \alpha_0$ , so that  $\alpha_i$  is a path inside  $A$  with  
 10 initial point  $T^i(z)$  and terminal point  $T^{i+1}(z)$ . Now let  $\alpha$  be the concatenation of  
 11  $\alpha_0, \dots, \alpha_{N-1}$ . This is a path inside  $B$  with endpoints in  $V$ , so  $|\mathbf{w}(p \circ \alpha)| < 1$ . However,  
 12  $\mathbf{w}(p \circ \alpha) = \sum_{i=0}^{N-1} \mathbf{w}(p \circ \alpha_i)$ . Since  $p(T(x)) = gp(x)$  for any  $x \in Z$ , we see that  $p \circ \alpha_i =$   
 13  $g^i \cdot (p \circ \alpha_0)$  for every  $i \in \{0, \dots, N-1\}$ , so that  $\mathbf{w}(p \circ \alpha_i) = \mathbf{w}(p \circ \alpha_0)$ . Hence, we get  
 14  $\mathbf{w}(p \circ \alpha) = N\mathbf{w}(p \circ \alpha_0)$ . Finally,  $\mathbf{w}_0 := \mathbf{w}(p \circ \alpha_0)$  is non-zero since  $p \circ \alpha_0$  has endpoints  
 15  $p(z)$  and  $gp(z) \neq p(z)$  (we are assuming that  $g \neq \mathbf{1}$ ). Thus, we get  $|\mathbf{w}(p \circ \alpha)| \geq 1$ , if  $N$  is  
 16 large enough, since  $\mathbf{w}_0$  does not depend on  $N$ . This is a contradiction.  $\square$

17 *Remark 1.* It follows from Lemma 4.1 that if  $(X, T)$  is a dynamical system with at least  
 18 one recurrent point, and  $X$  is simply path-connected and locally path-connected, then  
 19  $(X, T)$  is anti-Kronecker. In particular, if  $X$  is *compact*, simply path-connected and locally  
 20 path-connected then any dynamical system  $(X, T)$  is anti-Kronecker. This was proved by  
 21 Furstenberg in [9].

22 *Remark 2.* Lemma 4.1 would no longer be true if the compact group  $K$  were allowed  
 23 to be non-abelian in the definition of a Kronecker system. Indeed, let  $K$  be any simply  
 24 path-connected and locally path-connected compact group (e.g.  $K := SU(2)$ , the group  
 25 of all unitary (complex)  $2 \times 2$  matrices  $M$  with  $\det(M) = 1$ ). Then, for any  $g \in K$ , the  
 26 ‘Kronecker’ dynamical system  $(K, \tau_g)$  has property (S).

27 However, what Lemma 4.1 really says is that if a dynamical system of the form  $(K, \tau_g)$   
 28 happens to be a pseudo-factor of some dynamical system  $(Z, T)$  with property (S) then  
 29  $g$  belongs to the closed subgroup  $K'$  generated by the commutators of  $K$  (i.e. all  $h \in K$  of  
 30 the form  $aba^{-1}b^{-1}$ ). Indeed,  $K'$  is a closed normal subgroup of  $K$  and the quotient group  
 31  $K/K'$  is abelian, so the dynamical system  $(K/K', \tau_{[g]})$  is a Kronecker pseudo-factor of  
 32  $(Z, T)$ , and hence  $[g] = \mathbf{1}$  in  $K/K'$ .

33 *Remark 3.* When the ground space  $X$  is compact and metrizable, a minimal dynamical  
 34 system  $(X, T)$  is anti-Kronecker if and only if the continuous map  $T$  is *weakly mixing*,  
 35 i.e.  $T \times T$  is point transitive on  $X \times X$ . This is a well-known result due independently to  
 36 Keynes–Robertson [15] and Petersen [19] (see [11, Theorem 2.3]). When  $X$  is not compact,  
 37 this need not be true. For example, if  $T$  is a hypercyclic operator then  $(HC(T), T)$  is  
 38 anti-Kronecker (by Corollary 2.1), but  $T$  need not be weakly mixing by [20].

#### 41 4.3. Proofs of Theorems 1.1 and 1.2.

42 4.3.1. *Proof of Theorem 1.2.* Towards a contradiction, assume that there exists some  $\Gamma$ -  
 43 transitive point  $x \in X$  which is not  $\Gamma_0$ -transitive. By Theorem 4.1, there is a non-trivial  
 44

01 eigencharacter  $\chi \in \widehat{\Gamma}$  for  $(\text{Trans}(\Gamma), \Gamma)$  such that  $\Gamma_0 \subset \ker(\chi)$ . Let  $f : \text{Trans}(\Gamma) \rightarrow \mathbb{T}$   
 02 be an associated eigenfunction, i.e.  $f(\gamma \cdot z) = \chi(\gamma)f(z)$  whenever  $(z, \gamma) \in \text{Trans}(\Gamma) \times \Gamma$   
 03 and  $\gamma \cdot z \in \text{Trans}(\Gamma)$ .

04 By assumption, one can find  $\gamma \in \Gamma$  such that  $g := \chi(\gamma) \neq \mathbf{1}$  and a  $T_\gamma$ -invariant set  $Z \subset$   
 05  $\text{Trans}(\Gamma)$  such that the dynamical system  $(Z, T_\gamma)$  has property (S). But since  $f(T_\gamma(z)) =$   
 06  $gf(z)$  for all  $z \in Z$ , the non-trivial Kronecker system  $(\mathbb{T}, \tau_g)$  is a pseudo-factor of  $(Z, T_\gamma)$ ,  
 07 which contradicts Lemma 4.1.  $\square$

08  
 09 4.3.2. *Proof of Theorem 1.1.* By Theorem 4.1, it is enough to prove that there is no  
 10 non-trivial eigencharacter for  $(HC(\Gamma), \Gamma)$  whose kernel contains  $\Gamma_0$ . We show that, in  
 11 fact, *any* eigencharacter for  $(HC(\Gamma), \Gamma)$  is trivial. Let  $\chi$  be such a character, and let  
 12  $f : HC(\Gamma) \rightarrow \mathbb{T}$  be an associated eigenfunction. By assumption, there is at least one  $\gamma \in \Gamma$   
 13 such that  $T := T_\gamma$  is hypercyclic; pick any  $z \in HC(T)$ . Then  $T^n(z) \in HC(T) \subset HC(\Gamma)$   
 14 and  $f(T^n(z)) = \chi(\gamma)^n f(z)$  for all  $n \in \mathbb{N}$ . If  $\chi(\gamma) = \mathbf{1}$  then, since  $\{T^n(z); n \in \mathbb{N}\}$  is dense  
 15 in  $X$ , it follows that  $f$  is constant, and we are done, since  $\chi(\gamma') = f(\gamma' \cdot z)/f(z)$  for every  
 16  $\gamma' \in \Gamma$ . Here, the  $\Gamma$ -invariance of  $HC(\Gamma)$  was used since we needed  $f(\gamma' \cdot z)$  to be well  
 17 defined for *every*  $\gamma' \in \Gamma$ . If  $g := \chi(\gamma) \neq \mathbf{1}$  then the non-trivial Kronecker system  $(\mathbb{T}, \tau_g)$   
 18 is a pseudo-factor of  $(HC(T), T)$ , a contradiction since the latter is anti-Kronecker by  
 19 Corollary 2.1.  $\square$

20  
 21 4.4. *Products, quotients, and proof of Theorem 4.1.* This subsection is devoted to the  
 22 proof of Theorem 4.1. The following notation will be useful.

23  
 24 *Notation.* Let  $(Z, \Lambda)$  be a dynamical system. Given  $z, z' \in Z$ , we write  $z \xrightarrow{\Lambda} z'$  if  
 25  $z' \in \overline{\Lambda \cdot z}$ , and we write  $z \xleftrightarrow{\Lambda} z'$  when both  $z \xrightarrow{\Lambda} z'$  and  $z' \xrightarrow{\Lambda} z$ .

26  
 27 Let  $(X, \Gamma)$  be a dynamical system, and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  
 28  $G = \Gamma/\Gamma_0$  is well defined. Then  $\Gamma$  acts in a natural way on the product space  $G \times X$ ,

$$29 \quad \gamma \cdot (g, x) = (\pi_0(\gamma)g, \gamma \cdot x),$$

30  
 31 where  $\pi_0 : \Gamma \rightarrow G$  is the quotient map. The dynamical system  $(G \times X, \Gamma)$  may be called  
 32 the *diagonal product extension* of  $(X, \Gamma)$  via the quotient map  $\pi_0$ . To emphasize the  
 33 dependence on the subsemigroup  $\Gamma_0$ , we write  $(G \times X, \pi_0 \times \Gamma)$  instead of  $(G \times X, \Gamma)$ .  
 34 The next lemma (and its corollary below) relates the transitivity of  $\Gamma_0$  to that of  $\pi_0 \times \Gamma$ .

35 LEMMA 4.2. *Let  $(X, \Gamma)$  be a dynamical system, with a completely metrizable acting*  
 36 *semigroup  $\Gamma$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$ . Also, let  $x \in X$ . Assume that  $G = \Gamma/\Gamma_0$*   
 37 *is well defined and compact, and that  $\overline{\Gamma_0 \cdot \overline{\Gamma x}} = \overline{\Gamma \cdot x}$ . If  $(\mathbf{1}_G, x) \xrightarrow{\pi_0 \times \Gamma} (g, x)$  for every*  
 38  *$g \in G$  then  $\overline{\Gamma_0 x} = \overline{\Gamma x}$ .*

39  
 40 *Proof.* Assume that  $(\mathbf{1}_G, x) \xrightarrow{\pi_0 \times \Gamma} (g, x)$  for every  $g \in G$ . Since  $(\Gamma_0 \Gamma)x$  is known to be  
 41 dense in  $\Gamma x$ , it is enough to show that  $\gamma_0 \cdot z \in \overline{\Gamma_0 x}$  for any  $\gamma_0 \in \Gamma_0$  and every  $z \in \Gamma x$ .  
 42 (This fact was implicit in the proof of Lemma 3.3.) Let us fix  $\gamma_0$  and  $z = \xi \cdot x$ , and  
 43 let  $K_0$  be a fundamental domain for  $(\Gamma/\Gamma_0, \gamma_0)$ . By assumption, there is a net  $(\xi_i) \subset \Gamma$   
 44 such that  $\pi_0(\xi_i) \rightarrow \pi_0(\xi)^{-1}$  and  $\xi_i \cdot x \rightarrow x$ . Choose  $k_i \in K_0$  such that  $\gamma_i := k_i(\xi_i) \in \Gamma_0$ .



01 By compactness, we may assume that the net  $(k_i)$  is convergent,  $k_i \rightarrow k \in K_0$ . Since  
 02  $\pi_0(k_i \xi_i \xi) = \mathbf{1}_G$  and  $\pi_0(\xi \xi_i) \rightarrow \mathbf{1}_G$ , we see that  $\pi_0(k) = \mathbf{1}_G$ , so that  $k \in \Gamma_0 \cap K_0$  and hence  
 03  $k = \gamma_0$ . Now,  $\gamma_i \cdot x \rightarrow (k \xi) \cdot x = \gamma_0 \cdot z$  by the joint continuity of the map  $(\gamma, u) \mapsto \gamma \cdot u$ .  
 04 Thus, we have shown that  $\gamma_0 \cdot z \in \overline{\Gamma_0 \cdot x}$ , as required.  $\square$

05  
 06 **COROLLARY 4.2.** *Under the hypotheses of Lemma 4.2, assume additionally that  $T_\xi$  has*  
 07 *dense range for a dense set of  $\xi \in \Gamma$ . Then  $x$  is  $\Gamma_0$ -transitive if and only if  $(\mathbf{1}_G, x)$  is*  
 08  *$(\pi_0 \times \Gamma)$ -transitive in  $G \times X$ .*

09 *Proof.* Assume first that  $x \in \text{Trans}(\Gamma_0)$ . Then, obviously,  $(\mathbf{1}_G, x) \xrightarrow{\pi_0 \times \Gamma} (\mathbf{1}_G, z)$  for any  
 10  $z \in X$ . Hence,  $(\mathbf{1}_G, x) \xrightarrow{\pi_0 \times \Gamma} (\pi_0(\xi), \xi \cdot z)$  for all  $(\xi, z) \in \Gamma \times X$ ; since  $T_\xi$  has dense  
 11 range for a dense set of  $\xi$ , it follows that  $(\mathbf{1}_G, x)$  is  $(\pi_0 \times \Gamma)$ -transitive. Conversely, if  
 12  $(\mathbf{1}_G, x)$  is  $(\pi_0 \times \Gamma)$ -transitive then  $\overline{\Gamma_0 x} = \overline{\Gamma x} = X$ , by the lemma.  $\square$

13  
 14 We now use Lemma 4.2 to relate the  $\Gamma_0$ -orbits with the actions of  $\Gamma$  on the *coset*  
 15 *spaces* associated with subgroups of  $G = \Gamma/\Gamma_0$ . If  $H$  is a closed subgroup of  $G$  then  $\Gamma$   
 16 acts in a natural way on  $G/H$ , the space of left cosets defined by  $H$ ; namely, if  $\gamma \in \Gamma$   
 17 and  $gH \in G/H$  then  $\gamma \cdot (gH) = (\pi_0(\gamma)g)H$ . We shall refer to the dynamical system  
 18  $(G/H, \Gamma)$  defined by this action as *the canonical action*  $(G/H, \Gamma)$ .

19  
 20 **PROPOSITION 4.1.** *Let  $(X, \Gamma)$  be a dynamical system, with a completely metrizable*  
 21 *acting semigroup  $\Gamma$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that that  $G = \Gamma/\Gamma_0$  is well*  
 22 *defined and compact. Also, let  $x \in X$  and assume that  $x \xrightarrow{\Gamma} x$ . Finally, put*

$$23 \quad H(x) := \{g \in G; (\mathbf{1}_G, x) \xrightarrow{\pi_0 \times \Gamma} (g, x)\}.$$

- 24  
 25 (a) *The set  $H(x)$  is a closed subgroup of  $G$ .*  
 26 (b) *Assume that  $\Gamma_0 \cdot \overline{\Gamma x} = \overline{\Gamma \cdot x}$ . If  $\overline{\Gamma_0 \cdot x} \neq \overline{\Gamma \cdot x}$  then  $H(x)$  is a proper subgroup of  $G$ .*  
 27 (c) *Put  $E(x) := \{y \in X; x \xleftrightarrow{\Gamma} y\}$ . Then the canonical action  $(G/H(x), \Gamma)$  is a pseudo-*  
 28 *factor of  $(E(x), \Gamma)$ .*

29  
 30 *Proof.* For any  $x, y \in X$ , let us put

$$31 \quad H_{y,x} := \{g \in G; (\mathbf{1}_G, x) \xrightarrow{\pi_0 \times \Gamma} (g, y)\}.$$

32  
 33 Thus,  $g \in H_{y,x}$  if and only if there is a net  $(\gamma_i) \subset \Gamma$  such that  $\pi_0(\gamma_i) \rightarrow g$  and  $\gamma_i \cdot x \rightarrow y$ .  
 34 It follows at once from the compactness of  $G$  that  $H_{y,x}$  is non-empty if and only if  $x \xrightarrow{\Gamma} y$ .  
 35 Moreover, it is an elementary exercise to show that

$$36 \quad H_{z,y} \cdot H_{y,x} \subset H_{z,x}$$

37  
 38 for any  $x, y, z \in X$ . Since  $x \xrightarrow{\Gamma} x$ , it follows that  $H(x) = H_{x,x}$  is a non-empty closed  
 39 subsemigroup of the compact group  $G$ , and hence, in fact, a closed *subgroup* of  $G$ , by a  
 40 well-known (and easy) argument. This proves (a).

41 Part (b) follows at once from Lemma 4.2.

42 Let us now prove (c). We put  $H := H(x)$ , and for any set  $A \subset G$ , we denote by  $AH$  the  
 43 image of  $A$  in the coset space  $G/H$ .  
 44

We first claim that if  $y \in E(x)$  then  $H_{y,x}H$  is reduced to a single point. Indeed, since  $y \xrightarrow{\Gamma} x$ , we may pick  $v_0 \in H_{x,y}$ . If  $u$  is any point in  $H_{y,x}$  then  $v_0u \in H_{x,y} \cdot H_{y,x} \subset H_{x,x} = H$ , so that  $uH = v_0^{-1}H$ . Thus,  $H_{y,x}H$  contains at most one point, hence exactly one point, since  $H_{y,x} \neq \emptyset$ .

Now we define a map  $p : E(x) \rightarrow G/H$  as follows: if  $y \in E(x)$  then  $\{p(y)\} = H_{y,x}H$ . This map  $p$  is easily seen to be continuous; indeed, if  $C$  is any closed subset of  $G/H$  then

$$p^{-1}(C) = \{y \in E(x); \exists g \in G : (g, y) \in \overline{\Gamma \cdot (\mathbf{1}_G, x)} \text{ and } gH \in C\}$$

is closed in  $E(x)$  because  $G$  is compact and the relation  $R(g, y)$  appearing after the existential quantifier is closed in  $G \times E(x)$ . Moreover, if  $y \in E(x)$  and  $\gamma \in \Gamma$  then  $\pi_0(\gamma) \cdot H_{y,x} \subset H_{\gamma \cdot y, x}$ . It follows that  $\gamma \cdot (H_{y,x}H) = (\pi_0(\gamma) \cdot H_{y,x})H \subset H_{\gamma \cdot y, x}H$ , so that  $p(\gamma \cdot y) = \gamma \cdot p(y)$  if  $y \in E(x)$  and  $\gamma \cdot y \in E(x)$ . This shows that  $p$  is a pseudo-factoring map from  $(E(x), \Gamma)$  into  $(G/H, \Gamma)$ .  $\square$

*Remark.* With the notation of the above proof, we see that (being a subgroup of  $G$ )  $H_{x,x}$  contains  $\mathbf{1}_G$  as soon as  $x \xrightarrow{\Gamma} x$ . When  $\Gamma = \mathbb{N}$ , i.e.  $(X, \Gamma) = (X, T)$  for some continuous map  $T : X \rightarrow X$ , it follows that if  $x \in X$  is a recurrent point for  $T$  then, for any compact group  $G$  and every  $g \in G$ , the point  $(\mathbf{1}_G, x)$  is a recurrent point for  $\tau_g \times T : G \times X \rightarrow G \times X$ . This is quite a well-known result (see [10], for example). The point in Shkarin's theorem 2.2 is that, with some additional assumptions, one can replace 'recurrent' by 'transitive'.

**COROLLARY 4.3.** *Let  $(X, \Gamma)$  be a dynamical system, with a completely metrizable acting semigroup  $\Gamma$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $G = \Gamma/\Gamma_0$  is well defined and compact and  $\Gamma_0 \cdot X$  is dense in  $X$ . If  $\text{Trans}(\Gamma) \neq \text{Trans}(\Gamma_0)$  then there is a proper closed subgroup  $H \subset G$  such that the canonical action  $(G/H, \Gamma)$  is a pseudo-factor of  $(\text{Trans}(\Gamma), \Gamma)$ .*

*Proof.* Assume that  $\text{Trans}(\Gamma) \neq \text{Trans}(\Gamma_0)$  and let us pick any point  $x \in \text{Trans}(\Gamma) \setminus \text{Trans}(\Gamma_0)$ . Since  $\Gamma_0 \cdot X$  is dense in  $X$  and  $x \in \text{Trans}(\Gamma)$ , we have  $\overline{(\Gamma_0\Gamma) \cdot x} = X = \overline{\Gamma \cdot x}$ . Moreover,  $E(x) = \text{Trans}(\Gamma)$ . By Proposition 4.1, the result follows.  $\square$

It is now a very short step to the following proof of the theorem.

*Proof of Theorem 4.1.* Assume that  $\text{Trans}(\Gamma) \neq \text{Trans}(\Gamma_0)$ . Then Corollary 4.3 provides us with a proper closed subgroup  $H \subset G$  such that the canonical action  $(G/H, \Gamma)$  is a pseudo-factor of  $(\text{Trans}(\Gamma), \Gamma)$ , with witness  $p : \text{Trans}(\Gamma) \rightarrow G/H$ . Since the compact group  $G$  is abelian, one can find a non-trivial character  $\phi \in \widehat{G}$  such that  $H \subset \ker(\phi)$ . Let us denote by  $[\phi]$  the character of  $G/H$  induced by  $\phi$ . If we put  $\chi := \phi \circ \pi_0$  (where  $\pi_0 : \Gamma \rightarrow G$  is the canonical quotient map), and  $f := [\phi] \circ p$ , then  $\chi$  is a non-trivial character of  $\Gamma$  such that  $\Gamma_0 \subset \ker(\chi)$ , and  $f(\gamma \cdot z) = \chi(\gamma)f(z)$ , whenever  $(z, \gamma) \in \text{Trans}(\Gamma) \times \Gamma$  and  $\gamma \cdot z \in \text{Trans}(\Gamma)$ . This concludes the proof.  $\square$

## 5. Further results

**5.1. Supercyclic semigroups.** Let  $X$  be a topological vector space over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ . A linear dynamical system  $(X, \Gamma)$  is *supercyclic* if there is some  $x \in X$  whose projective

01  $\Gamma$ -orbit  $\mathbb{K}\Gamma \cdot x := \{\lambda T_\gamma(x); \lambda \in \mathbb{K}, \gamma \in \Gamma\}$  is dense in  $X$ , and, equivalently, if the  
 02 dynamical system  $(X, \mathbb{K} \times \Gamma)$  is hypercyclic, where  $\mathbb{K}$  is considered as a multiplicative  
 03 semigroup and  $\mathbb{K} \times \Gamma$  acts on  $X$  in the obvious way. The set of all supercyclic vectors  
 04 for  $\Gamma$  is denoted by  $SC(\Gamma)$ . When  $\Gamma = \mathbb{N}$ ,  $(X, \Gamma) = (X, T)$ , one says that the operator  $T$   
 05 is supercyclic. More generally, a dynamical system  $(X, \Gamma)$  is  $\Lambda$ -supercyclic for a given  
 06 multiplicative semigroup  $\Lambda \subset \mathbb{K}$  if there is some  $x \in X$  such that  $\Lambda\Gamma \cdot x$  is dense in  $X$ .  
 07 The following result is a supercyclic version of Theorem 1.1. As shown in [5], it holds on  
 08 complex topological vector spaces only.

09 PROPOSITION 5.1. *Let  $(X, \Gamma)$  be a supercyclic linear dynamical system, where  $X$  is a  
 10 complex topological vector space and the acting semigroup  $\Gamma$  is completely metrizable  
 11 and abelian. Let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $\Gamma/\Gamma_0$  is well defined and compact.  
 12 Assume that all operators  $T_\gamma$  have dense range and that at least one  $T_\gamma$  is supercyclic.  
 13 Then  $(X, \Gamma_0)$  is supercyclic, with the same supercyclic vectors as  $(X, \Gamma)$ .*  
 14

15 For the proof, it is convenient to make use of the projective space  $\mathbb{P}X$  associated with  
 16  $X$ . Recall that  $\mathbb{P}X$  is the quotient space  $(X \setminus \{0\})/\equiv$ , where  $u \equiv v$  if and only if  $u$  and  
 17  $v$  are colinear. The space  $\mathbb{P}X$  is equipped with the quotient topology, and we denote by  
 18  $\mathbb{P}: X \setminus \{0\} \rightarrow \mathbb{P}X$  the natural quotient map. This map is continuous (by definition), and it  
 19 is easily seen also to be open.

20 Any operator  $T \in \mathcal{L}(X)$  respects the colinearity relation  $\equiv$ , and hence  $T$  induces, in a  
 21 natural way, a continuous map  $\mathbb{P}T: \mathbb{P}X \rightarrow \mathbb{P}X$ . Moreover, it is easily checked (using the  
 22 open-ness of the quotient map  $\mathbb{P}$ ) that  $T$  is supercyclic if and only if  $\mathbb{P}T$  is point transitive  
 23 on  $\mathbb{P}X$ , and that  $\text{Trans}(\mathbb{P}T) = \mathbb{P}(SC(T))$ . In particular,  $\text{Trans}(\mathbb{P}T)$  is  $\mathbb{P}T$ -invariant. The  
 24 proof of Proposition 5.1 relies on the following analogue of Corollary 2.1.  
 25

26 LEMMA 5.1. *Let  $X$  be a complex topological vector space. If  $T \in \mathcal{L}(X)$  is supercyclic  
 27 then the dynamical system  $(\text{Trans}(\mathbb{P}T), \mathbb{P}T)$  has property (S).*

28 *Proof.* We may clearly assume that  $\dim(X) > 1$ . Then  $X$  is, in fact, infinite-dimensional  
 29 since otherwise there are no supercyclic operators on  $X$ . We start with the following fact.  
 30

31 *Fact.* Let  $Z$  be a linear subspace of  $X$ , and let  $H$  be a closed, affine subspace of  $Z$ . Then  
 32  $\mathbb{P}(H \setminus \{0\})$  is locally path-connected and simply path-connected.  
 33

34 *Proof of fact.* Replacing  $Z$  by the linear span of  $H$ , we may assume that either  $H = Z$  or  
 35  $H$  is a closed hyperplane in  $Z$ .

36 Since any point of  $H$  has an open neighbourhood basis consisting of star-shaped sets,  
 37  $H \setminus \{0\}$  is locally path-connected. Moreover, since we are considering complex spaces,  
 38  $H \setminus \{0\}$  is also simply path-connected except when  $H = Z$  and  $\dim(Z) = 1$ , in which case  
 39 there is nothing to prove since  $\mathbb{P}(M \setminus \{0\})$  is reduced to a single point. So it is enough to  
 40 show that:

- 41 (1) the restriction of  $\mathbb{P}$  to  $H \setminus \{0\}$  is open from  $H \setminus \{0\}$  onto  $\mathbb{P}(H \setminus \{0\})$ ; and
- 42 (2) any closed path in  $\mathbb{P}(H \setminus \{0\})$  can be lifted to a closed path in  $H \setminus \{0\}$ .

43 Assume first that  $H = Z$ , i.e.  $H$  is a linear subspace of  $X$ . Then (1) is clear because  
 44  $H \setminus \{0\}$  is  $\equiv$ -saturated, and (2) is also clear for the same reason since it is well known that

any closed path in  $\mathbb{P}X$  can be lifted to a closed path in  $X \setminus \{0\}$  (see [21, Lemma A.3], for example).

Assume now that  $H$  is a closed hyperplane in  $Z$ , i.e.  $H = \{h \in Z; \phi(h) = 1\}$  for some continuous linear functional  $\phi : Z \rightarrow \mathbb{C}$ . If  $V$  is an open set in  $H$  then its  $\equiv$ -saturation  $\tilde{V}$  is open in  $Z \setminus \{0\}$  since  $\tilde{V} = \{z \in Z; \phi(z) \neq 0 \text{ and } z/\phi(z) \in V\}$ ; so (1) follows from the previous case. Similarly, (2) follows from the previous case since if  $\gamma : [0, 1] \rightarrow Z \setminus \{0\}$  is a closed path in  $Z \setminus \{0\}$  such that  $\mathbb{P}(\gamma(t)) \in \mathbb{P}(H \setminus \{0\})$  for all  $t$  then the formula  $\gamma_H(t) := \gamma(t)/\phi(\gamma(t))$  makes sense and defines a closed path in  $H \setminus \{0\}$  such that  $\mathbb{P} \circ \gamma_H = \mathbb{P} \circ \gamma$ .  $\square$

Let us now fix a supercyclic operator  $T \in \mathcal{L}(X)$ , and let  $z$  be any supercyclic vector for  $T$ . Then  $\mathbb{P}(z)$  is a transitive point for  $\mathbb{P}T$  and hence a recurrent point. So it is enough to find a  $T$ -invariant set  $M \subset X \setminus \{0\}$  such that  $z \in M \subset SC(T)$  and  $\mathbb{P}(M)$  is locally path-connected and simply path-connected. As is well known (see [24], for example), two cases may occur.

*Case 1.*  $P(T)$  has dense range for every polynomial  $P \neq 0$ .

In this case, we put  $H = Z := \text{span}\{T^n(z); n \geq 0\}$ . Then  $M := H \setminus \{0\}$  has the required properties by the above fact.

*Case 2.* There is a complex number  $\lambda_0 \neq 0$  such that  $\overline{(T - \lambda_0 I)(X)}$  has codimension one in  $X$  and  $P(T)$  has dense range for every polynomial  $P$  with  $P(\lambda_0) \neq 0$ .

Replacing  $T$  by  $\lambda_0^{-1}T$ , we may, in fact, assume that  $\lambda_0 = 1$  (notice that  $\mathbb{P}(\mu T) = \mathbb{P}T$  for any  $\mu \in \mathbb{C}^*$ ). We put  $Z := \text{span}\{T^n(z); n \geq 0\}$  and  $M = H := \{P(T)z; P \text{ polynomial, } P(1) = 1\}$ . By the above fact, we just have to check that  $H$  is closed in  $Z$ . Let  $\phi$  be a continuous linear functional on  $X$  such that  $\ker(\phi) = \overline{(T - I)(X)}$ . Then  $T^*(\phi) = \phi$  (where  $T^*$  is the adjoint operator), so we have  $\phi(P(T)x) = P(1)\phi(x)$  for any  $x \in X$  and every polynomial  $P$ . Since  $\phi(z) \neq 0$ , we may assume that  $\phi(z) = 1$ , and it follows that  $H = \{h \in Z; \phi(h) = 1\}$ . This shows that  $H$  is indeed closed in  $Z$ , and the proof is complete.  $\square$

*Proof of Proposition 5.1.* Let us denote by the symbols  $\mathbb{P}\Gamma$  and  $\mathbb{P}\Gamma_0$  the semigroups  $\Gamma$  and  $\Gamma_0$  acting on the projective space  $\mathbb{P}X$ . Then  $\mathbb{P}\Gamma$  is point transitive (with  $\text{Trans}(\mathbb{P}\Gamma) = \mathbb{P}(SC(\Gamma))$ ) and we have to show that  $\mathbb{P}\Gamma_0$  is also transitive, with the same transitive points. By Theorem 4.1, it is enough to check that any eigencharacter  $\chi$  for  $(\text{Trans}(\mathbb{P}\Gamma), \mathbb{P}\Gamma)$  is trivial. Let us fix such a character  $\chi$ , and let  $p : \text{Trans}(\mathbb{P}\Gamma) \rightarrow \mathbb{T}$  be an associated eigenfunction. Towards a contradiction, we assume that  $\chi$  is non-trivial, so that  $p$  is non-constant.

By assumption, one can pick  $\gamma \in \Gamma$  such that the operator  $T = T_\gamma$  is supercyclic. Then the induced map  $\mathbb{P}T$  is transitive on  $\mathbb{P}X$ . Since  $\text{Trans}(\mathbb{P}T)$  is contained in  $\text{Trans}(\mathbb{P}\Gamma)$ , we have  $p((\mathbb{P}T)^n(z)) = g^n p(z)$  for any  $z \in \text{Trans}(\mathbb{P}T)$  and every  $n \in \mathbb{N}$ , where  $g := \chi(\gamma)$ . Since  $p$  is non-constant and  $\text{Trans}(\mathbb{P}T) = \mathbb{P}(SC(T))$  is dense in  $\mathbb{P}X$ , it follows that  $g \neq 1$ . Thus, we see that the non-trivial Kronecker system  $(\mathbb{T}, \tau_g)$  is a pseudo-factor of the dynamical system  $(\text{Trans}(\mathbb{P}T), \mathbb{P}T)$ . This contradicts Lemma 5.1.  $\square$

As in the hypercyclic case, one can easily deduce from Proposition 5.1 the supercyclic versions of Ansari's and Conejero–Müller–Peris' theorems. The Ansari case (powers)

goes back to [1]. The Conejero–Müller–Peris case (1-parameter semigroups) was obtained recently by Shkarin in [22]. Shkarin’s proof is quite interesting and rather different from the one we are about to give. Unlike the one in [22], our proof works in the metrizable case only, but it can be adapted to give the result without additional assumptions on  $X$ ; see below.

**COROLLARY 5.1.** *Let  $X$  be a complex topological vector space.*

- (1) *If  $T \in \mathcal{L}(X)$  is supercyclic then so is  $T^p$  for any positive integer  $p$ , with the same supercyclic vectors.*
- (2) *Assume that  $X$  is metrizable. If  $(T_t)_{t \geq 0}$  is a jointly continuous supercyclic semigroup on  $X$  then every operator  $T_a$ ,  $a > 0$  is supercyclic, with the same supercyclic vectors as the semigroup  $(T_t)$ .*

*Proof.* Part (1) is immediate. For part (2), the only thing to check is that some operator  $T_t$  is supercyclic. Let  $x$  be any supercyclic vector for the semigroup  $(T_s)_{s \geq 0}$ . Then the set  $\{\lambda T_s(x); \lambda \in \mathbb{C}, s \geq A\}$  is dense in  $X$  for any  $A > 0$  because  $T_A$  has dense range (see below) and commutes with every  $T_s$ . Using this and the metrizability of  $X$ , a simple Baire category argument shows that  $x$  is  $T_t$ -supercyclic for a comeager set of  $t$  (see the first proof of Theorem 2.1).

To show that  $T_A$  has dense range for every  $A > 0$ , we may assume that  $\dim(X) > 1$ . It is, in fact, enough to show that  $T_\varepsilon$  has dense range for *some*  $\varepsilon > 0$ , since  $n\varepsilon \geq A$  for some  $n \in \mathbb{N}$ , and hence  $\text{Ran}(T_A) \supset \text{Ran}(T_\varepsilon^n)$  by the semigroup property. Otherwise (again taking a supercyclic vector  $x$  for  $(T_s)$ ), the set  $\{\lambda T_s(x); \lambda \in \mathbb{C}, s \geq \varepsilon\}$  is nowhere dense for any  $\varepsilon > 0$ , since it is contained in the nowhere-dense subspace  $\text{Ran}(T_\varepsilon)$ , and hence the set  $\{\lambda T_s(x); \lambda \in \mathbb{C}, s < \varepsilon\}$  is dense in  $X$ . It follows that for any  $z \in X$ , one can find a net  $(\lambda_i, \varepsilon_i) \subset \mathbb{C} \times \mathbb{R}_+$  with  $\varepsilon_i \rightarrow 0$  such that  $\lambda_i T_{\varepsilon_i}(x) \rightarrow z$ . If  $|\lambda_i| \rightarrow \infty$  then  $T_{\varepsilon_i}(x) \rightarrow 0$ , a contradiction since  $T_{\varepsilon_i}(x) \rightarrow x$ . So the net  $(\lambda_i)$  has a convergent subnet, and it follows that  $z \in \mathbb{C}x$  for any  $z \in X$ , a contradiction since  $\dim(X) > 1$ .  $\square$

*Remark.* Part (2) holds, in fact, without any metrizability assumption on  $X$ . Indeed, the general result may be deduced from the metrizable case exactly as for hypercyclic semigroups (see the remark just after the first proof of Theorem 2.1). Alternatively, one may use a variant of Proposition 5.1, where the assumption ‘some  $T_\gamma$  is supercyclic’ is replaced by ‘ $(\text{Trans}(\mathbb{P}\Gamma), \mathbb{P}T_\gamma)$  is anti-Kronecker for every  $\gamma \in \Gamma$ ’. If one proceeds in this way, the key point is to show that if  $\Gamma = (T_s)_{s \geq 0}$  is a supercyclic semigroup on  $X$  then, for any  $t > 0$ , the dynamical system  $(\text{Trans}(\mathbb{P}\Gamma), \mathbb{P}T_t)$  has property (S). This, in turn, is proved exactly as for Lemma 5.1 once the following two facts are established.

- (i) One can find a supercyclic vector  $z$  for the semigroup  $(T_s)_{s \geq 0}$  and a net  $(\lambda_i, n_i) \subset \mathbb{C} \times \mathbb{N}$  with  $n_i \rightarrow \infty$  such that  $\lambda_i T_{n_i}(z) \rightarrow z$ .
- (ii) Either  $P(T_t)$  has dense range for every polynomial  $P \neq 0$ , or there is a complex number  $\lambda_0 \neq 0$  such that  $\overline{(T_t - \lambda_0 I)(X)}$  has codimension one in  $X$  and  $P(T_t)$  has dense range for any polynomial  $P$  with  $P(\lambda_0) \neq 0$ .

Indeed, (i) ensures that  $\mathbb{P}z \in \text{Trans}(\mathbb{P}\Gamma)$  and that  $\mathbb{P}z$  is  $\mathbb{P}T_t$ -recurrent, whereas (ii) is exactly what is needed to imitate the proof of Lemma 5.1.

The proof of (i) is essentially the same as that of Corollary 3.1. To prove (ii), one key fact is that if  $H$  is any closed,  $\Gamma$ -invariant subspace of  $X$  then  $H$  has infinite codimension or codimension at most one. Since  $\Gamma$  induces a 1-parameter supercyclic semigroup on the quotient space  $X/H$ , this follows because there are no supercyclic 1-parameter semigroups on a complex finite-dimensional space  $Z$  unless  $\dim(Z) \in \{0, 1\}$  [23, Lemma 5.1]. The second key fact [22, Lemma 2.5] is that  $\lambda I$  is never supercyclic on a space with dimension  $> 1$ , so that  $H_\lambda := \overline{(T_t - \lambda I)(X)}$  has codimension at most one for any  $\lambda \in \mathbb{C}$ . Now assume that  $H_{\lambda_0}$  has codimension one for some  $\lambda_0 \in \mathbb{C}$ . Then  $\lambda_0 \neq 0$  (because  $T_t$  has dense range). If  $\lambda \neq \lambda_0$  then the  $\Gamma$ -invariant subspace  $H_\lambda \cap H_{\lambda_0}$  has codimension at most two, so, in fact, at most one, and hence we have either  $H_\lambda = X$  or  $H_\lambda = H_{\lambda_0}$ . The latter is impossible since  $T_t$  would then act as both  $\lambda I$  and  $\lambda_0 I$  on the corresponding (non-trivial) quotient space. Thus, we see that  $H_\lambda = X$ , i.e.  $T_t - \lambda I$  has dense range for every  $\lambda \neq \lambda_0$ . This concludes the proof.

Proposition 5.1 can also be used in tandem with the León–Müller theorem to get the following result about *positively* supercyclic semigroups.

**COROLLARY 5.2.** *Let  $(X, \Gamma)$  be a supercyclic linear dynamical system, where  $X$  is a complex topological vector space and the acting semigroup  $\Gamma$  is completely metrizable and abelian. Let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $\Gamma/\Gamma_0$  is well defined. Assume that  $\Gamma/\Gamma_0$  is compact and that at least one operator  $T_\gamma$  is supercyclic. Moreover, assume that one can find an operator  $R$  commuting with all  $T_\gamma$ ,  $\gamma \in \Gamma_0$  such that  $R - \mu I$  has dense range for every  $\mu \in \mathbb{C}$ . Then  $(X, \Gamma_0)$  is  $\mathbb{R}_+$ -supercyclic, and every supercyclic vector for  $\Gamma$  is, in fact,  $\mathbb{R}_+$ -supercyclic for  $\Gamma_0$ .*

*Proof.* Applying the León–Müller theorem (Theorem 2.1(2b)) to the semigroup  $\mathcal{S} = \{rT_\gamma; r > 0, \gamma \in \Gamma_0\}$ , we see that any supercyclic vector for  $\Gamma_0$  is, in fact,  $\mathbb{R}_+$ -supercyclic. Hence, the result follows at once from Proposition 5.1.  $\square$

**COROLLARY 5.3.** *Let  $(T_t)_{t \geq 0}$  be a jointly continuous supercyclic semigroup on a complex topological vector space. Assume that  $T_t - \mu I$  has dense range for some  $t > 0$  and all  $\mu \in \mathbb{C}$ . Then each operator  $T_a$ ,  $a > 0$  is positively supercyclic and every supercyclic vector for the semigroup  $(T_t)$  is, in fact, positively supercyclic for  $T_a$ .*

**5.2. Other variations on the main results.** The following results can be easily deduced from the proof of Theorem 1.2. Recall that a dynamical system  $(Z, \Gamma)$  is said to be *minimal* if every  $\Gamma$ -orbit is dense.

**PROPOSITION 5.2.** *Let  $(Z, \Gamma)$  be a minimal dynamical system with a completely metrizable acting semigroup  $\Gamma$ , and let  $\Gamma_0$  be a subsemigroup of  $\Gamma$  such that  $\Gamma/\Gamma_0$  is well defined and  $\Gamma_0 \cdot Z$  is dense in  $Z$ . Then  $(Z, \Gamma_0)$  is also minimal, provided one of the following holds.*

- (1)  $\Gamma/\Gamma_0$  is finite and  $Z$  is connected.
- (2)  $\Gamma/\Gamma_0$  is compact and abelian, every  $T_\gamma$  has a recurrent point, and  $Z$  is simply path-connected and locally path-connected.
- (3)  $\Gamma/\Gamma_0$  is compact and abelian, and there is at least one  $\gamma \in \Gamma$  such that  $T_\gamma$  is weakly mixing.

01 *Proof.* Part (2) follows from Theorem 1.2, as stated, since  $\text{Trans}(\Gamma) = Z$ .

02 To prove (1), we use Corollary 4.3. If  $\overline{\Gamma_0 \cdot x} \neq Z$  for some  $x \in Z$  then one can find  
03 a proper closed subgroup  $H \subset G := \Gamma/\Gamma_0$  such that the canonical action  $(G/H, \Gamma)$  is a  
04 pseudo-factor of  $(Z, \Gamma)$ . However,  $G/H$  is finite and  $Z$  is connected, so any pseudo-  
05 factoring map from  $(Z, \Gamma)$  into  $(G/H, \Gamma)$  must be constant. This is a contradiction.

06 To prove (3), it is enough to show that if  $T : Z \rightarrow Z$  is weakly mixing, then the  
07 dynamical system  $(Z, T)$  is anti-Kronecker. This is quite well known and easy to check, as  
08 follows. Towards a contradiction, assume that  $(Z, T)$  has a non-trivial Kronecker pseudo-  
09 factor  $(K, \tau_g)$ , and let  $f : (Z, T) \rightarrow (K, \tau_g)$  be a pseudo-factoring map. If  $(z_0, z'_0)$  is  
10 a  $(T \times T)$ -transitive point in  $Z \times Z$  then, putting  $a_0 := f(z_0)$  and  $a'_0 := f(z'_0)$ , the set  
11  $\{(g^n a_0, g^n a'_0); n \in \mathbb{N}\}$  is dense in  $f(Z) \times f(Z)$ . In particular, this set contains  $(a_0, a_0)$  in  
12 its closure, which is clearly not possible unless  $a_0 = a'_0$ . Since  $f$  is non-constant, this is a  
13 contradiction.  $\square$

14 *Remark.* One can use (1) to prove Ansari's theorem as well as its supercyclic version.  
15 In fact, if  $\Lambda$  is any multiplicative subsemigroup of  $\mathbb{C}^*$ , and if  $T$  is a  $\Lambda$ -supercyclic  
16 operator on a (complex) topological vector space  $X$ , then  $T^p$  is  $\Lambda$ -supercyclic for any  
17 positive integer  $p$ , with the same  $\Lambda$ -supercyclic vectors. To see this, apply (1) with  
18  $\Gamma = \Lambda \times \mathbb{N}$  and  $\Gamma_0 = \Lambda \times p\mathbb{N}$ . Denoting by  $Z$  the set of all  $\Lambda$ -supercyclic vectors for  $T$ ,  
19 the dynamical system  $(Z, \Gamma)$  is minimal. Hence, it is enough to check that  $Z$  is connected.  
20 Now, the operator  $T$  is supercyclic, so there is a complex number  $\lambda_0 \neq 0$  such that  $P(T)$   
21 has dense range for every polynomial  $P$  with  $P(\lambda_0) \neq 0$ . Then, for any  $z \in Z$ , the set  
22  $\{P(T)z; P(\lambda_0) \neq 0\}$  is contained in  $Z$ . Since this set is connected and dense in  $X$ , this  
23 concludes the proof.  
24

25 Another related result is the following proposition, which should be compared with  
26 Shkarin's theorem. In the case of a compact ground space  $X$ , this result can be extracted  
27 from [18].

28 **PROPOSITION 5.3.** *Let  $(X, T)$  be a point transitive dynamical system, and let  $x \in$   
29  $\text{Trans}(T)$ . Also, let  $G$  be a compact metrizable abelian group. Moreover, assume that  $G$  is  
30 connected. Then the set of all  $g \in G$  such that  $\{(g^n, T^n(x)); n \in \mathbb{N}\}$  is dense in  $G \times X$  is  
31 a residual subset of  $G$ .*

32 *Proof.* Let  $\Gamma := G \times \mathbb{Z}_+$  act on  $G \times X$  as expected,  $T_{(\xi, n)}(h, z) = (\xi h, T^n(z))$ . Then  
33  $\text{Trans}(\Gamma) = G \times \text{Trans}(T)$  and  $\text{Trans}(\Gamma)$  is  $\Gamma$ -invariant.

34 Let us denote by  $M$  the set of all  $g \in G$  such that the set  $\{(g^n, T^n(x)); n \in \mathbb{N}\}$  is not  
35 dense in  $G \times X$ . If  $g \in M$  then  $\{(g^n, T^n(x)); n \in \mathbb{Z}_+\}$  is not dense either because  $(\mathbf{1}_G, x)$   
36 is a recurrent point of  $\tau_g \times T$  (see the remark after Proposition 4.1). By Theorem 4.1  
37 applied with  $\Gamma_0 = \Gamma_g := \{(g^n, n); n \in \mathbb{Z}_+\}$ , one can find a non-trivial character  $\phi_g \in \widehat{\Gamma}$   
38 and a (non-constant) continuous function  $f_g : G \times \text{Trans}(T) \rightarrow \mathbb{T}$  such that  $\phi_g(g, 1) = \mathbf{1}$   
39 and  $f_g(\xi, T^n(x)) = \phi_g(\xi, n) f_g(\mathbf{1}_G, x)$  for all  $(\xi, n) \in \Gamma$ . Putting  $\chi_g(\xi) := \phi_g(\xi, 0)$   
40 and  $\alpha_g := \phi_g(\mathbf{1}_G, 1)$ , this becomes  $f_g(\xi, T^n(x)) = \chi_g(\xi) \alpha_g^n f_g(\mathbf{1}_G, x)$ . Moreover, we  
41 have  $\alpha_g = \chi_g(g)^{-1}$  since  $\phi_g(g, 1) = \mathbf{1}$ , hence we get  $f_g(\xi, T^n(x)) = \chi_g(\xi g^{-n}) f_g(\mathbf{1}_G, x)$ .  
42 Since  $f_g$  is non-constant and  $x \in \text{Trans}(T)$ , it follows in particular that the character  $\chi_g$  is  
43 non-trivial. Moreover, it is apparent that the function  $f_g$  is, in fact, uniquely determined by  
44

the character  $\chi_g$ , up to a multiplicative constant. Hence, if  $g_1, g_2 \in M$  may be associated with the same character  $\chi \in \widehat{G}$  then  $\chi(g_1) = \chi(g_2)$ . Thus, denoting by  $\widehat{G}^*$  the set of all non-trivial characters of  $G$ , we have arrived at the following conclusion: there is a family of complex numbers  $(\alpha_\chi)_{\chi \in \widehat{G}^*} \subset \mathbb{T}$  such that  $M \subset \bigcup_{\chi \in \widehat{G}^*} \chi^{-1}(\alpha_\chi)$ . Now, since  $G$  is connected, every non-trivial character  $\chi$  has a nowhere-dense kernel, and hence nowhere-dense level sets. Since  $\widehat{G}$  is countable (because  $G$  is metrizable), it follows that  $M$  is a set of the first Baire category, which concludes the proof.  $\square$

*Remark 1.* Taking a trivial space  $X = \{x_0\}$ , it follows that any compact, connected metrizable abelian group is monothetic, with a residual set of topological generators. This is, of course, quite well known. Actually, this is a characterization of connectedness within the class of compact metrizable abelian groups. Indeed, if  $G$  is not connected then it has a proper clopen subgroup  $H$ , and no  $h \in H$  can be a topological generator of  $G$ . On the other hand, there are compact metrizable monothetic groups which are not connected, e.g.  $G = \mathbb{T} \times \mathbb{Z}_2$ .

*Remark 2.* It would seem natural to expect that  $\{(g^n, T^n(x)); n \in \mathbb{N}\}$  is dense in  $G \times X$  for any topological generator  $g$  of  $G$ . However, this need not be true. For example, consider  $X = G = \mathbb{T}$  and  $T = \tau_g : \mathbb{T} \rightarrow \mathbb{T}$ , where  $g$  is a topological generator of  $\mathbb{T}$ .

**5.3. Anti-Kronecker systems.** The following proposition gives a characterization of anti-Kronecker systems. This result is implicit in [21], and also in [18] when the space  $X$  is compact.

**PROPOSITION 5.4.** *For a minimal dynamical system  $(X, T)$ , the following are equivalent.*

- (i)  $(X, T)$  is anti-Kronecker.
- (ii)  $(K \times X, \tau_g \times T)$  is minimal for every minimal Kronecker system  $(K, \tau_g)$ .
- (iii)  $(K \times X, \tau_g \times T)$  is point transitive for every minimal Kronecker system  $(K, \tau_g)$ .

*Proof.* If (ii) fails to hold for some minimal Kronecker system  $(K, \tau_g)$  then, as in the proof of Proposition 5.3, one can find a continuous function  $f : K \times X \rightarrow \mathbb{T}$  and a unimodular complex number  $\alpha \neq \mathbf{1}$  such that  $f(k, T(x)) = \alpha f(k, x)$  for all  $(k, x) \in K \times X$  (we have indeed  $\alpha \neq \mathbf{1}$  because  $\alpha = \chi(g)^{-1}$  for some non-trivial character  $\chi \in \widehat{K}$  and  $g$  is a topological generator of  $K$ ). Then the map  $p : X \rightarrow \mathbb{T}$  defined by  $p(x) := f(\mathbf{1}, x)$  is a pseudo-factoring map from  $(X, T)$  into the non-trivial Kronecker system  $(\mathbb{T}, \tau_\alpha)$ . This shows that (i) implies (ii).

That (ii) implies (iii) is trivial. Finally, assume that  $(X, T)$  has a non-trivial Kronecker pseudo-factor  $(K, \tau_g)$  with pseudo-factoring map  $p : X \rightarrow K$ . Let  $\widetilde{K}$  be the closed subgroup of  $K$  generated by  $g$ , so that the Kronecker dynamical system  $(\widetilde{K}, \tau_g)$  is minimal. Replacing  $p(x)$  by  $p(x_0)^{-1}p(x)$ , we may assume that  $p(x_0) = \mathbf{1}_K$  for some  $x_0 \in X$ . Then  $p(T^n(x_0)) = g^n$  for all  $n \in \mathbb{N}$ , and, since  $x_0$  is  $T$ -transitive, it follows that  $p(X) \subset \widetilde{K}$ . Therefore, the dynamical system  $(\widetilde{K} \times \widetilde{K}, \tau_g \times \tau_g)$  is a pseudo-factor of  $(\widetilde{K} \times X, \tau_g \times T)$  with pseudo-factoring map  $q : \widetilde{K} \times X \rightarrow \widetilde{K} \times \widetilde{K}$  defined by  $q(k, x) = (k, p(x))$ . If  $(\widetilde{K} \times X, \tau_g \times T)$  is point transitive then so is  $(\widetilde{K} \times \widetilde{K}, \tau_g \times \tau_g)$  because  $q$  has dense range, which is clearly not possible unless  $\widetilde{K} = \{\mathbf{1}\}$ , i.e.  $g = \mathbf{1}$ . This shows that (iii) implies (i).  $\square$



01 COROLLARY 5.4. *If  $X$  is a simply path-connected and locally path-connected compact*  
 02 *metric space then any minimal dynamical system  $(X, T)$  is weakly mixing.*

03 *Proof.* By Shkarin's theorem and Proposition 5.4, the minimal dynamical system  $(X, T)$  is  
 04 anti-Kronecker, and hence  $T$  is weakly mixing because  $X$  is compact (see Remark 3 after  
 05 Lemma 4.1).  $\square$   
 06

07 5.4. *Group topologies on orbits.* Let  $(X, \Gamma)$  be a dynamical system, and assume that the  
 08 acting semigroup  $\Gamma$  is a group. If  $x \in X$  has a trivial stabilizer (i.e.  $\gamma \cdot x = x$  only if  $\gamma = \mathbf{1}$ )  
 09 then the orbit  $\Gamma \cdot x$  may be identified (as a set) with  $\Gamma$ , and hence it is canonically equipped  
 10 with a group structure, obtained by transferring the group operation of  $\Gamma$ . The original  
 11 topology on  $\Gamma \cdot x$  (i.e. its topology as a subspace of  $X$ ) is in general strictly coarser than  
 12 the group topology induced by  $\Gamma$ , and it has, in fact, no reason for being a group topology.  
 13 Now, the following simple remark shows that group topologies on  $\Gamma \cdot x$  are closely related  
 14 to eigencharacters for the dynamical system  $(\Gamma \cdot x, \Gamma)$  (which is, of course, not surprising).  
 15

16 *Remark 5.1.* Let  $(X, \Gamma)$  be a dynamical system, where  $\Gamma$  is a completely metrizable  
 17 abelian group, and let  $x \in X$  have a trivial stabilizer. Also, let  $\Gamma_0$  be a cocompact subgroup  
 18 of  $\Gamma$ . Then the following are equivalent.

- 19 (i) There is a non-trivial eigencharacter for the dynamical system  $(\Gamma \cdot x, \Gamma)$  which is  
 20 trivial on  $\Gamma_0$ .  
 21 (ii) There is a (perhaps not Hausdorff) group topology  $\sigma$  on  $\Gamma \cdot x$  which is coarser than  
 22 the original topology and such that  $\Gamma_0 \cdot x$  is not dense in  $(\Gamma \cdot x, \sigma)$ .

23 *Proof.* Identifying  $\Gamma \cdot x$  with  $\Gamma$ , let us denote by  $\sigma_x$  the topology on  $\Gamma \cdot x$  generated by  
 24 all eigencharacters for the dynamical system  $(\Gamma \cdot x, \Gamma)$ . Equivalently,  $\sigma_x$  is the topology  
 25 generated by all continuous eigenfunctions  $f$  for  $(\Gamma \cdot x, \Gamma)$  such that  $f(x) = \mathbf{1}$ . By its  
 26 very definition,  $\sigma_x$  is a group topology on  $\Gamma \cdot x$  coarser than the original topology, and the  
 27 eigencharacters of  $(\Gamma \cdot x, \Gamma)$  are characters of the topological group  $(\Gamma \cdot x, \sigma)$ . This shows  
 28 that (i) implies (ii).  $\square$   
 29

30 Conversely, assume that there is a coarser group topology  $\sigma$  on  $\Gamma \cdot x$  such that  $\Gamma_0 \cdot x$   
 31 is not dense in  $(\Gamma \cdot x, \sigma)$ . Let us endow the quotient group  $\Gamma \cdot x / \Gamma_0 \cdot x \simeq \Gamma / \Gamma_0$  with the  
 32 quotient topology induced by the group topology  $\sigma$ . Since  $\sigma$  is coarser than the original  
 33 topological topology on  $\Gamma \cdot x$ , which is in turn coarser than the group topology induced  
 34 by  $\Gamma$ , this topology is coarser than the quotient topology of  $\Gamma / \Gamma_0$ , whence  $\Gamma \cdot x / \Gamma_0 \cdot x$   
 35 is *compact* (perhaps not Hausdorff). Since  $\Gamma_0 \cdot x$  is not dense in  $(\Gamma \cdot x, \sigma)$ , it follows  
 36 that there is a non-trivial character on  $\Gamma \cdot x / \Gamma_0 \cdot x$ , and hence a non-trivial character  $f$  on  
 37  $(\Gamma \cdot x, \sigma)$ , such that  $\Gamma_0 \cdot x \subset \ker(f)$ . Since  $\sigma$  is coarser than the original topology on  $\Gamma \cdot x$ ,  
 38 the map  $f$  is continuous with respect to this topology. Thus, we have found a non-constant  
 39 eigenfunction for the dynamical system  $(\Gamma \cdot x, \Gamma)$  which is constant on  $\Gamma_0 \cdot x$ .  $\square$   
 40

Applying Theorem 4.1, we immediately deduce the following proposition.

41 PROPOSITION 5.5. *Let  $(X, \Gamma)$  be a dynamical system, where  $\Gamma$  is a completely metrizable*  
 42 *abelian group, and let  $x \in X$  have a trivial stabilizer. Also, let  $\Gamma_0$  be a cocompact subgroup*  
 43 *of  $\Gamma$ . Then  $\overline{\Gamma_0 \cdot x} \neq \overline{\Gamma \cdot x}$  if and only if there is a group topology  $\sigma$  on  $\Gamma \cdot x$  which is coarser*  
 44 *than the original topology and such that  $\Gamma_0 \cdot x$  is not dense in  $(\Gamma \cdot x, \sigma)$ .*

## 6. Concluding remarks

We conclude the paper with some additional remarks and questions.

(1) The following statement is a direct generalization of both Theorems 1.1 and 1.2. Assume that  $\Gamma/\Gamma_0$  is compact and abelian, and that  $\Gamma_0 \cdot X$  is dense in  $X$ . Then  $\Gamma$  and  $\Gamma_0$  have the same transitive points, provided the following holds: for each non-trivial eigencharacter  $\chi$  for  $(\text{Trans}(\Gamma), \Gamma)$  such that  $\ker(\chi) \supset \Gamma_0$ , one can find  $\gamma \in \Gamma$  such that  $\chi(\gamma) \neq \mathbf{1}$ , and a  $T_\gamma$ -invariant set  $Z \subset \text{Trans}(\Gamma)$  such that the dynamical system  $(Z, T_\gamma)$  has property (S). However, this statement looks quite artificial. Indeed, in view of Theorem 4.1 and Lemma 4.1, it can be formulated as follows: if one can find a character  $\chi \in \widehat{\Gamma}$  witnessing that  $\text{Trans}(\Gamma) \neq \text{Trans}(\Gamma_0)$  then one can find  $\gamma \in \Gamma$  witnessing that  $\chi$  cannot exist (!).

(2) Compactness of the quotient group  $\Gamma/\Gamma_0$  is essential in the proofs of Theorems 1.1 and 1.2. The following remark shows that this is not due to a defect in the proofs. Recall that a topological space is said to be *Polish* if it is separable and completely metrizable. Recall also that a *representation* of a topological group  $\Gamma$  is just a linear dynamical system  $(X, \Gamma)$ , where  $X$  is a topological vector space (more accurately, the representation is the homomorphism  $\gamma \mapsto T_\gamma$  from  $\Gamma$  into the linear group  $\text{GL}(X)$ ).

*Remark 6.1.* Let  $\Gamma$  be a Polish locally compact abelian group, and let  $\Gamma_0$  be a closed subgroup of  $\Gamma$ . If  $\Gamma/\Gamma_0$  is non-compact then one can find a hypercyclic representation  $(\mathcal{H}, \Gamma)$  on a separable infinite-dimensional Hilbert space  $\mathcal{H}$  such that  $(\mathcal{H}, \Gamma_0)$  is not hypercyclic. Moreover, this representation has the following property:  $T_\gamma$  is hypercyclic for any  $\gamma \in \Gamma$  such that  $\pi_0(\gamma)^k \rightarrow \infty$  as  $k \rightarrow \infty$ . (Such a  $\gamma$  can always be found if  $\Gamma$  is compactly generated.)

This remark is an immediate consequence of the next lemma.

**LEMMA 6.1.** *If  $G$  is a Polish, locally compact and non-compact abelian group then  $G$  admits a hypercyclic representation  $(\mathcal{H}, G)$  on some (separable) infinite-dimensional Hilbert space  $\mathcal{H}$ . Moreover, the representation may be chosen in such a way that  $T_g$  is hypercyclic for every  $g \in G$  such that  $g^k \rightarrow \infty$  as  $k \rightarrow \infty$  (if there is any).*

*Proof of Remark 6.1.* Apply the lemma with  $G := \Gamma/\Gamma_0$  and put  $T_\gamma := T_{\pi_0(\gamma)}$ ,  $\gamma \in \Gamma$ , where  $\pi_0 : \Gamma \rightarrow G$  is the canonical quotient map. If  $\Gamma$  is compactly generated then, by the ‘structure theorem’ for locally compact abelian groups (see [14, Theorem 9.8]), the compactly generated group  $G = \Gamma/\Gamma_0$  has the form  $\mathbb{R}^n \times \mathbb{Z}^m \times K$ , where  $K$  is a compact group, and either  $n$  or  $m$  is non-zero since  $G$  is non-compact. So one can indeed find  $\gamma \in \Gamma$  such that  $\pi_0(\gamma)^k \rightarrow \infty$  as  $k \rightarrow \infty$ .  $\square$

*Proof of Lemma 6.1.* The proof is the same as in the well-known cases  $G = \mathbb{Z}$  and  $G = \mathbb{R}$ . Consider the weighted  $L^2$ -space  $\mathcal{H} := L_w^2(G)$ , where  $w : G \rightarrow (0, \infty)$  is a positive continuous function such that  $w \in C_0(G)$  and  $\sup_{g \in G} (w(\xi^{-1}g)/w(g)) < \infty$  for any  $\xi \in G$ , and let  $G$  act on  $\mathcal{H}$  by translations, i.e.  $T_\xi f(g) = f(\xi g)$ . Then the dynamical system  $(\mathcal{H}, G)$  is easily seen to satisfy the ‘group version’ of Kitai’s criterion for hypercyclicity: there is a dense set  $\mathcal{D} \subset \mathcal{H}$  (namely  $\mathcal{D} := C_{00}(G)$ , the set of all compactly supported continuous functions on  $G$ ) and a group  $(S_\xi)_{\xi \in G}$  defined on  $\mathcal{D}$  (namely  $S_\xi := T_\xi^{-1}$ ) such that  $T_\xi S_\xi(u) \rightarrow u$  and both  $T_\xi(u)$ ,  $S_\xi(u)$  tend to 0 as  $\xi \rightarrow \infty$ , for any  $u \in \mathcal{D}$ .

01 To construct the ‘weight’  $w : G \rightarrow (0, \infty)$ , one may proceed as follows. Write  $G =$   
 02  $\bigcup_{i \geq 0} E_i$ , where  $(E_i)$  is an increasing sequence of compact sets such that  $E_0 = \{\mathbf{1}\}$  and  
 03 every compact subset of  $G$  is contained in the interior of some  $E_i$ . Put  $C_0 := E_0$ , and  
 04 define inductively a sequence of compact sets  $(C_i)_{i \geq 0} \subset G$  as follows:  $C_{i+1} = E_{n_{i+1}}$ ,  
 05 where  $n_{i+1}$  is the smallest  $n$  such that  $C_i \cup \bigcup_{j, j' < i} C_j C_{j'} \subset \overset{\circ}{E}_n$ . Then  $\bigcup_{i \geq 0} C_i = G$ ,  
 06  $C_i \subset \overset{\circ}{C}_{i+1}$  for all  $i$  and  $C_i C_j \subset C_{i+j}$  for all  $i, j \geq 0$ . Now use the Tietze extension theorem  
 07 to find a continuous function  $i : G \rightarrow [0, \infty[$  such that  $i(g) \equiv 0$  on  $C_0$ ,  $i(g) \equiv 2i + 2$  on  
 08  $C_{2i+2} \setminus \overset{\circ}{C}_{2i+1}$  for all  $i \geq 0$  and  $2i \leq i(g) \leq 2i + 2$  on  $\overset{\circ}{C}_{2i+1} \setminus C_{2i}$ . Define the weight  $w$  by  
 09  $w(g) := 2^{-i(g)}$ . Then  $w \in C_0(G)$  because  $i(g) \geq 2i$  whenever  $g \in G \setminus C_{2i}$  (so that  $i(g) \rightarrow$   
 10  $\infty$  as  $g \rightarrow \infty$ ). Moreover, since  $g \in C_{i(g)+2}$  for all  $g$ , and  $i(g) \leq k + 1$  whenever  $g \in C_k$ ,  
 11 we see that  $i(gg') \leq i(g) + i(g') + 5$  for all  $g, g' \in G$ , and hence  $w(gg') \geq 2^{-5}w(g)w(g')$ .  
 12 It follows that  $\inf_{g \in G} (w(\xi g)/w(g)) > 0$  for all  $\xi \in G$ , so that  $\sup_{g \in G} (w(\xi^{-1}g)/w(g)) <$   
 13  $\infty$ , as required.  $\square$

14 Incidentally, the following question may be interesting. Let  $\Gamma$  be a non-compact Polish  
 15 group. Is it always possible to find a hypercyclic Hilbert space representation of  $\Gamma$ , or at  
 16 least a hypercyclic representation on some Banach space  $X$ ? Otherwise, for which Polish  
 17 groups  $\Gamma$  is it possible to find such a representation?

18 (3) In Theorem 1.1 or Corollary 1.1, the assumption that some operator  $T_\gamma$  is  
 19 hypercyclic may look unnecessarily strong and not very natural. However, the following  
 20 example (essentially taken from [21]) shows that this assumption cannot be simply  
 21 removed.

22 *Example 6.1.* Let  $X$  be a complex separable (infinite-dimensional) Banach space, and let  
 23  $(T_t)_{t \in \mathbb{R}}$  be any 1-parameter hypercyclic  $C_0$ -group on  $X$ . Let  $\alpha$  be any real number such  
 24 that  $\alpha/\pi \notin \mathbb{Q}$ , choose  $C > 1$ , and let  $(T_{(s,t)})_{(s,t) \in \mathbb{R} \times \mathbb{R}}$  be the 2-parameters group defined  
 25 on  $X \oplus \mathbb{C}$  by  $T_{(s,t)} := T_t \oplus C^{s-t} e^{i\alpha t} I$ . Then the group  $(T_{(s,t)})_{(s,t) \in \mathbb{R} \times \mathbb{R}}$  is hypercyclic but  
 26 the subgroup generated by  $T_{(1,0)}$  and  $T_{(0,1)}$  is not.

27 *Proof.* Since the set  $\{C^{m-n} e^{ian}; (m, n) \in \mathbb{Z} \times \mathbb{Z}\}$  is nowhere dense in  $\mathbb{R}$ , it is clear that  
 28 the group generated by  $T_{(1,0)}$  and  $T_{(0,1)}$  is not hypercyclic. Now let  $x$  be any hypercyclic  
 29 vector for the group  $(T_t)_{t \in \mathbb{R}}$ . Then either  $\{T_t(x); t \leq 0\}$  or  $\{T_t(x); t \geq 0\}$  is somewhere  
 30 dense in  $X$ , and hence everywhere dense by the Costakis–Peris theorem [8]. Thus, we may  
 31 assume that  $x$  is, in fact, hypercyclic for the semigroup  $(T_t)_{t \geq 0}$ . By the Conejero–Müller–  
 32 Peris theorem and Shkarin’s theorem (!), it follows that the set  $\{(T_n(x), e^{ian}); n \in \mathbb{N}\}$   
 33 is dense in  $X \times \mathbb{T}$ . Thus, given any vector  $z = u \oplus r e^{i\theta} \in X \oplus \mathbb{C}$ , one can first find  
 34  $n \in \mathbb{N}$  such that  $C^{-n} < r$  and  $T_n(x) \oplus e^{in\alpha}$  is close to  $u \oplus e^{i\theta}$ , and then  $s \in \mathbb{R}_+$  such that  
 35  $C^{s-n} = r$ , to get that  $T_{(s,n)}(x, 1) = T_n(x) \oplus C^{s-n} e^{in\alpha}$  is close to  $z$ . This shows that the  
 36 group  $(T_{(s,t)})_{(s,t) \in \mathbb{R} \times \mathbb{R}}$  is hypercyclic, with hypercyclic vector  $x \oplus 1$ .  $\square$

37 Nevertheless, the assumption that some  $T_\gamma$  is hypercyclic should not be considered as  
 38 ‘necessary’. Indeed, as shown by Bayart in [2], there exist hypercyclic *holomorphic groups*  
 39  $(T_z)_{z \in \mathbb{C}}$  such that no single operator  $T_z$  is hypercyclic, and yet the subgroup generated by  
 40 any basis  $(z_1, z_2)$  of  $\mathbb{C} = \mathbb{R}^2$  is hypercyclic.

41 (4) Still regarding the same assumption, it would be nice to have a simple direct proof  
 42 (without any metrizability assumption) of the fact that if a 1-parameter semigroup of  
 43 operators  $(T_t)_{t \geq 0}$  is hypercyclic then there is at least one hypercyclic operator in it.  
 44

(5) Even if all operators in a linear dynamical system  $(X, \Gamma)$  are hypercyclic, they may not have the same hypercyclic vectors, and, in fact, they may have no common hypercyclic vector at all. As shown in [2], this can even happen with a holomorphic group  $(T_z)_{z \in \mathbb{C}}$ .

(6) Shkarin's theorem and Proposition 5.4 suggest the following question: is it possible to characterize 'intrinsically' the anti-Kroneckeriness of a dynamical system  $(X, T)$ ? As already said, when  $X$  is compact and metrizable, anti-Kroneckeriness is equivalent to weak mixing (i.e. point transitivity of  $T \times T$ ), and this can be characterized in terms of the sets  $\mathbf{N}(U, V) := \{n \in \mathbb{N}; T^n(U) \cap V \neq \emptyset\}$ : a dynamical system  $(X, T)$  is weakly mixing if and only if each set  $\mathbf{N}(U, V)$  contains arbitrarily long intervals. A similar question may be asked for *mildly mixing* systems. A dynamical system  $(X, T)$  is said to be mildly mixing if, for any point transitive compact dynamical system  $(K, S)$ , the dynamical system  $(K \times X, S \times T)$  is point transitive. In the compact case, mild mixing can also be characterized in terms of the sets  $\mathbf{N}(U, V)$ ; see [11]. The linear version of this problem is the following: is it possible to characterize the linear operators  $T$  such that  $S \times T$  is hypercyclic for any hypercyclic operator  $S$ ?

(7) It follows easily from Shkarin's theorem that if  $T$  is a hypercyclic operator on some topological vector space  $X$ , and if  $x \in HC(T)$ , then, for each non-empty open set  $W \subset X$ , the set  $\mathbf{N}(x, W) = \{n \in \mathbb{N}; T^n(x) \in W\}$  is dense in  $b\mathbb{Z}$ , the Bohr compactification of  $\mathbb{Z}$ . However,  $T$  may be non-weakly mixing by [20]. In this case, by the characterization of weakly mixing systems mentioned above, one can find  $W$  such that the difference set  $\mathbf{N}(x, W) - \mathbf{N}(x, W)$  (which is equal to  $\mathbf{N}(W, W)$ ) does not contain arbitrarily long intervals because each set  $\mathbf{N}(U, V)$  contains a translate of some  $\mathbf{N}(W, W)$ . Moreover, one can also find  $W$  such that  $\mathbf{N}(x, W) - \mathbf{N}(x, W)$  does not have bounded gaps (see [12]). Thus, we have examples of sets of integers which are dense in  $b\mathbb{Z}$  but with some smallness property. It may be quite interesting to investigate this further.

(8) A *group extension* of a dynamical system  $(X, T)$  is a dynamical system of the form  $(G \times X, \tilde{T})$ , where  $G$  is a topological group and  $\tilde{T}(\xi, x) = (g(x)\xi, T(x))$  for some continuous map  $g: X \rightarrow G$ . If  $g$  is a constant map, one gets the dynamical system  $(G \times X, \tau_g \times T)$ . It is well known that if  $x \in X$  is a recurrent point for  $T$  then  $(\mathbf{1}_G, x)$  is a recurrent point for any compact group extension of  $(X, T)$  (see [10]). This leads to the following question: is there a Shkarin's theorem for general compact group extensions?

(8') Group extensions are particular cases of *skew products*. Skew products of a different type directly connected to linear dynamics are studied in [3].

(9) As already mentioned, the general ideas involved in the proofs of Theorems 1.1 and 1.2 go back to the paper [9] by Furstenberg. The setting of [9] is that of a group  $\Gamma$  acting on a compact metric space  $(X, d)$ , and the purpose is to get a structure theorem for *distal* dynamical systems  $(X, \Gamma)$ . A dynamical system is said to be distal if  $\inf_{\gamma \in \Gamma} d(\gamma \cdot x, \gamma \cdot y) > 0$  whenever  $x \neq y$ . One notable consequence of the work done in [9] is that every non-trivial minimal distal system  $(X, \Gamma)$  has a non-trivial eigencharacter. Comparing this with Theorem 4.1, we see that, very loosely speaking, the distality condition is replaced in our setting by the assumption ' $\text{Trans}(\Gamma_0) \neq \text{Trans}(\Gamma)$ ', and the compactness of the ground space  $X$  is replaced by that of the quotient group  $\Gamma/\Gamma_0$ . All of this is of course quite vague, but it looks plausible that some more general theorem is hiding somewhere.

(10) It would be interesting to know if something general can still be said if the quotient group  $\Gamma/\Gamma_0$  is not assumed to be abelian. In particular, is Theorem 1.1 still true without this assumption?

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Q4

Q5

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**Q1** (page 6)

Please check that the next sentence makes complete sense.

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**Q2** (page 11)

Does 'it' refer to the lemma? It might be better to say 'the lemma' rather than 'it' for complete clarity.

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**Q3** (page 24)

Should 'characterization' be 'characteristic'?

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**Q4** (page 29)

Please provide update for the preprint informations.

**Q5** (page 29)

Please provide more informations for Refs. [13, 22, 23], if possible.

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